





# ICB SECURITIES TRADING COMPANY LTD.

(A Subsidiary of ICB)

Dhaka Stock Exchange Ltd (TREC Holder: 129)  
BSEC Reg. No.3.1/DSE-129/2002/69  
Chittagong Stock Exchange Ltd (TREC Holder: 71)  
BSEC Reg. No.3.2/CSE-71/122/2004

Photograph of  
MD/CEO with  
attestation of  
the Introducer

## CUSTOMER ACCOUNT OPENING FORM (For the Company or Institutional Account)

Customer Account No.....

Date: .....

[To be filled by the Applicant(s)]

BO Account No.: ..... Account Type: Cash..... Margin.....

Incorporation Status of the Company/Institution (Please tick mark):

Bangladeshi/Foreigner/Other (Please Specify):.....

Type of the Company/Institution: (1) Private Ltd. (2) Public Ltd. (3) NGO (4)

Others (Please Specify)

Name of the Company/Institution .....

Corporate Address: .....

Registered Address:.....

Incorporation Certificate No..... date.....

Business Commencement Certificate No. (if any)..... date.....

Trade License No. .... date.....

TIN No.....

BIN No. (if any).....

VAT Registration Certificate No.....

Phone No.: ..... Mobile No:..... E-mail Address:.....

Details of Managing Director/CEO:

Name of the Managing Director/CEO.....

Father's Name:.....

Mother's Name:.....

Spouse's Name:.....

Present/Contact Address.....

Permanent Address.....

Date of Birth:..... Sex: Male.....Female..... Nationality:.....

National ID/Passport/Birth Certificate/Driving License Number.....

Phone No.: ..... Mobile No:..... E-mail Address:.....

Whether the applicant is Officer or Sponsor/Director of any Broker/Dealer/ Exchange/ Depository/ Clearing & Settlement Company/Listed Company? Yes..... No. ....

If yes, please mention the name & address of the Broker/Dealer/Exchange/ Depository/ Clearing & Settlement Company/Listed Company with designation of the said officer or sponsor or director:.....

**Signatory of the Account (if any):**

|   |  |             |                      |                      |
|---|--|-------------|----------------------|----------------------|
| Photograph of authorized Person(s)/ signatory(s) with attestation of the MD/CEO | Particulars                            | Signatory-1 | Signatory-2 (if any) | Signatory-3 (if any) |
|   | Name of the authorized signatory       |             |                      |                      |
|   | Designation                            |             |                      |                      |
|   | Present/Contact Address                |             |                      |                      |
|   | NID/Birth Certificate/ Passport Number |             |                      |                      |
|   | Phone Number                           |             |                      |                      |
|   | Mobile No.                             |             |                      |                      |
|   | Email Address                          |             |                      |                      |

**Signatory Instruction:**

Source of Fund details.....

**Bank Account Details:**

|                             |  |
|-----------------------------|--|
| Account Number              |  |
| Bank Name                   |  |
| Branch Name and Routing No. |  |

**Introducer Details:**

|                |  |
|----------------|--|
| Name           |  |
| Account Number |  |
| Mobile Number  |  |

-----  
Signature of the  
Introducer with date

Account operating instruction:(1) Singly operated; (2) Jointly operated; (3) others  
(specify)

Have any other Customer Account with any Stock Broker(s)? Yes.....No.....If yes,  
give details:.....

| Client Code No. | BO Account No. | Name of Broker |
|-----------------|----------------|----------------|
|                 |                |                |
|                 |                |                |
|                 |                |                |

-----  
Signature of MD/CEO  
with date

-----  
Signature of the  
Authorized  
Person/signatory-1  
(if any) with date

-----  
Signature of the  
Authorized  
Person/signatory-2  
(if any) with date

**Processed by:**

**Checked by:**

**Approved by:**

Name:.....

Name:.....

Name:.....

Designation:.....

Designation:.....

Designation:.....

Signature:.....

Signature:.....

Signature:.....

Date:

Date:

Date:

N. B.: Stock broker may require additional information, if necessary.

★ Note: In case of other type of applicant [if the applicant is a director/sponsor of a listed company or the applicant is a politically exposed person (PEP) or an Influential person (IP) or a Member of Senior Management of an International Organization] please use/fill-up individual/joint application form if the applicant is individual/joint person(s), but if the type of applicant(s) is a legal body corpo ate or institution, please use/fill up the company's or institutional application form.

## TERMS AND CONDITION FOR TRADING OF SHARES AND SECURITIES

1. The execution of these terms & conditions and the acceptance of the client's application by ICB Securities Trading Company Limited shall constitute a binding contract.
2. The contract shall remain valid initially for the period of one year from the date of the acceptance of the client's application by the ICB Securities Trading Company Limited and shall be automatically renewed from the year to year. The contract may be terminated by either party at any time by a prior written notice of thirty days to the other.
3. The term "shares" shall mean shares of quoted public companies and the term "securities" shall mean securities including Debenture and Bonds issued by the Govt. Autonomous, Semiautonomous bodies listed companies etc. including money market instrument viz. Treasury Bills and Bonds, Corporate Bills and Bonds, National Savings Certificates, ICB Units, Foreign Exchange and Currency, Bearer Certificates of Deposits and all other kinds of securities and bonds traded on or purchased or sold at the Stock Exchanges.
4. During the terms of this contract the client may from time to time place orders upon ICB Securities Trading Company Limited for purchases and sale of shares and securities. The order shall be from the client himself/herself in case of individuals or by authorized directors / officers / partners in case of companies, institution and firms. Where client is a company, institution or a firm it shall nominate its directors, officers or partners from time to time who will be authorized to place the orders with ICB Securities Trading Company Limited for purchase and sale of shares and securities with their full name, designations and specimen signatures. ICB Securities Trading Company Limited shall not be bound to receive instructions or orders from persons other than the authorized directors / officers / partners.
5. ICB Securities Trading Company Limited shall put forth its best endeavors to service and execute the orders of the client. ICB Securities Trading Company Limited shall make all purchase and sales of shares and securities during the trading hours of the relevant stock exchanges. Once any shares / securities are bought or sold in fulfillment of an order of the client ICB Securities Trading Company Limited shall endeavor to confirm the transaction by a memorandum of confirmation of purchase or sale of shares / securities which will be sent to the client, if possible, on the same day after the close of trading, or on the ensuing working day with full particulars of the share / securities purchase or sold, date of purchase or sale and the net price at which the shares / securities are purchased or sold on behalf of the
6. While ICB Securities Trading Company Limited shall endeavor to purchase or sale the shares/ securities within the limit of the prices that may be notified by client ICB Securities Trading Company Limited does not guarantee or assure that the transactions will be materialized within such notified limits ICB Securities Trading Company Limited would always endeavor to obtain the best price.
7. All contracts of purchase/sale shall in addition to the terms and conditions enunciated herein, be subject to the rules, regulations and usages of the stock Exchange (hereinafter referred to as "the Exchange") and to the Memorandum and Articles of Association of the Exchange which shall be binding upon the client and ICB Securities Trading Company Limited.
8. The client shall have to placed the order in written. In case of purchase contracts, deliveries will be effected to the client on the scheduled delivery dates mentioned in the memorandum of confirmation of purchase.
9. The Cliend shall have to pay total value of Purchase Order Prior to Placing and Order. If the Client defaults for whatsoever reason he shall be liable to ICB Securities Trading Company Limited for all loss or damage sustained or incurred. In addition ICB Securities Trading Company Limited shall have the absolute discretion, to resell commencing from the market day after the day of settlement, the client is liable to the ICB Securities Trading Company Limited for any loss or damage sustained or incurred.

10. ICB Securities Trading Company Limited shall not be liable for delays in the deliveries of the shares/securities due to any corresponding delays on part of the Members of the Exchange through whom the shares/securities may have been purchased for the client or any other reasons beyond the control of ICB Securities Trading Company Limited .
11. In case the client has authorized ICB Securities Trading Company Limited to maintain its investment with ICB Securities Trading Company Limited on a continuing basis the sale value of the shares/ securities may be recovered by ICB Securities Trading Company Limited by debiting the client's investment, provided sufficient balance is available to the client's credit. It is specifically agreed and understood that under no circumstances whatsoever ICB Securities Trading Company Limited will allow any credit facilities or make purchases for the client on credit basis.
12. If ICB Securities Trading Company Limited has been appointed as a custodian. ICB Securities Trading Company Limited on behalf of the Client will retain the Shares/securities purchased for the client.
13. For sale contracts, the client shall deliver the valid shares/securities to ICB Securities Trading Company Limited i. e. transfer documents duly completed and signed by the client together with relative securities with valid title, prior to placing a sale order.
14. If the shares/securities sold are lying with ICB Securities Trading Company Limited as custodian for the client. ICB Securities Trading Company Limited shall have the power to effect deliveries directly to the purchasers on behalf of the client.
15. ICB Securities Trading Company Limited shall not be liable for any delays in the payment and or deliveries of securities to be made by the member of the exchange through whom the shares/securities have been sold bought by ICB Securities Trading Company Limited on behalf of the client.
16. In the event of death of one of the joint account holder, the survivor, and on the death of sole account holder, the nominee or successor shall be the beneficiary of the transactions.
17. In the event of the client's refusal to accept delivery and to make payment against any purchase contract or refusal or delay in effecting delivery against any sale contract the client shall be liable to compensate ICB Securities Trading Company Limited for all losses and damages including the cost as well as legal expenses which ICB Securities Trading Company Limited may suffer or sustain on account of the client's delay or default as mentioned above. ICB Securities Trading Company Limited reserves the right of effecting sale of share/securities of the client is set off any debit balance, in the event of non payment by the client ICB Securities Trading Company Limited or due date.
18. ICB Securities Trading Company Limited shall not be responsible or liable for any error, omission or mistakes appearing in the list of shares annexed to any Cost Memo or Proceeds Bill or for any consequences arising there from.
19. ICB Securities Trading Company Limited shall not be liable for any fluctuations in the market prices of the shares/securities purchased or sold on behalf of the client for any reasons whatsoever and the net rates/ prices mentioned in any Memorandum of confirmation of sale or purchase shall be considered as the conclude final rates/prices binding upon both the parties.
20. ICB Securities Trading Company Limited shall not be liable for any fraud, forgery, miss declaration or any other act or omission on part of any constituent or member of any of the stock exchange or their respective clients and the shares/ securities shall be deemed to have been purchased or sold at the risk and cost of the client with no obligations on ICB Securities Trading Company Limited's part.

Sign.....

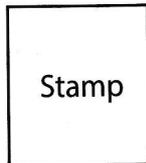
21. In the event of failure or refusal to effect delivery against any purchase contract by member of the Exchange through whom ICB Securities Trading Company Limited may have purchase the share/securities or refusal to accept delivery against any sale contract by any member of ICB Securities Trading Company Limited shall not be liable for any damages, costs, or legal expenses which the client may suffer or sustain and in such an event, the rules and regulations of the Exchange will prevail and be binding upon parties.
22. The client shall be bound to pay brokerage commission @ 0.40% trading volume to ICB Securities Trading Company Limited as per the schedule of charges for the time being in force, which shall be realized by ICB Securities Trading Company Limited by debit of the client's investment.
23. This Agreement shall be governed and construed in accordance with the laws of Bangladesh.
24. The client shall be bound to furnish such other particulars, documents and / or information that may reasonably require from time to time.
25. ICB Securities Trading Company Limited has the right to change any of the Terms and Conditions.

IN WITNESS WHEREOF, the client and ICB Securities Trading Company Limited have signed these terms and conditions as a token of acceptance thereof

.....day of .....200

For ICB Securities Trading Co. Ltd.

Signature :



Name :

Address :

Witness :

Name :

Address :

Witness :

Name :

Address :

BO Account Opening Form

(Bye Law 7.3.3 (b))

Please complete all details in CAPITAL letters. Please fill all names correctly. All communication shall be sent only to the First Named Account Holder's correspondence address.

Application No .....

Date (DDNNTYYY).....

Please Tick whichever is applicable

BO Category: Regular [ ] Omnibus [ ] Clearing [ ] BO Type: Individual [ ] Company [ ] Joint Holder [ ]

Name of CDBL Participant (Up to 99 Characters) ICB SECURITIES TRADING COMPANY LIMITED
CDBL Participant ID BO ID Date Account Opened (DDMMYYYY)

I / We request you to open a Depository Account in my/ our name as per the following details:

1. First Applicant

Name in Full of Account Holder (Up to 99 Characters)
Short Name of Account Holder (Insert full name starting with Title i.e Mr/Mrs/Ms/Dr. abbreviate only if over 30 characters)
(In case of a Company/Firm/Statutory Body) Name of Contact Person
In Case of Individual Male [ ] Female [ ] Occupation (30 Characters)
Father's /Husband's Name
Mother's Name

2. Contact Details:

Address
City..... Post Code..... State/ Division..... Country..... Telephone.....
Mobie Phone..... Fax..... E-mail.....

3. Passport Details

Passport No..... Issue Place..... Issue Date..... Expiry Date .....

4. Bank Details

Bank Name..... Branch Name ..... Account No .....
Electronic Dividend Credit: Yes [ ] No [ ] Tax Exemption if any: Yes [ ] No [ ] TIN/Tax ID :.....

5. Others Information

Residency: Resident [ ] Non Resident [ ] Nationality..... Date Of Birth (DDMMYYYY)
Statement Cycle Code Daily [ ] Weekly [ ] Fortnightly [ ] Monthly [ ] Other (Please Specify)
Internal Ref. No (To be filled in by CDBL Participant)
In Case of Company: Registration No ..... Date of Registration (DDMMYYYY)

6. Joint Applicant (Second Account Holder)

Name in Full (Up to 99 Characters)
Short Name of Account Holder (Insert full name starting with Title i.e Mr/Mrs/Ms/Dr. abbreviate only if over 30 characters)
Title i.e. M. /Mrs. /Ms. /Dr.

7. Joint Applicant (Third Account Holder)

Name in Full (Up to 99 Characters)
Short Name of Account Holder (Insert full name starting with Title i.e Mr/Mrs/Ms/Dr. abbreviate only if over 30 characters)
Title i.e. M. /Mrs. /Ms. /Dr.

**8. Account Link Request**

Would you like to create a link to your existing Depository Account? Yes  No

If yes, then please provide the depository BO Account Code (8 Digits):

**9. Nominees / Heirs**

If account holder(s) wish to nominate person (s) who will be entitled to receive securities outstanding in the account in the event of the death of the sole account holder / all the joint account holders, a separate nomination Form -23 must be filled up and signed by all account holders and the nominees giving names of nominees , relationship with first account holder, percentage distribution and contact details. If any nominee is a minor, guardian's name, address, relationship with nominee has also to be provided.

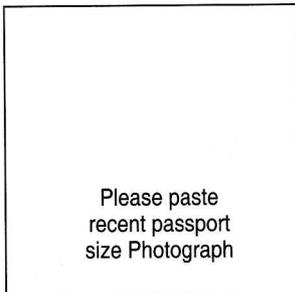
**10. Power of Attorney (POA)**

If account holder (s) wish to give a Power of Attorney (POA) to someone to operate the account, a separate Form - 20 must be filled up and signed by all account holders giving the name, contact details etc. of the POA holder and a POA document lodged with the form.

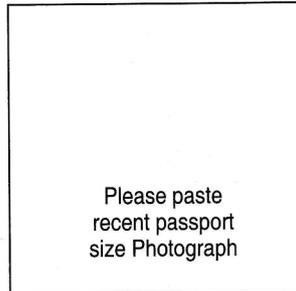
**11. To be filled in by the Stock Broker /Stock Exchange in case the application is for opening Clearing Account**

Exchange Name DSE  Trading ID ..... CSE  Trading ID .....

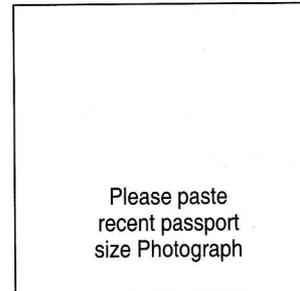
**12. Photograph**



(First Applicant)



(Second Applicant)



(Third Applicant)

**13. Standing Instructions**

I/We authorize you to receive facsimile (fax) transfer instructions for delivery. Yes  No

**14. DECLARATION**

The rules and regulations of the Depository and CDBL Participant pertaining to an account which are in force now have been read by me/us and I/we have understood the same and I/we agree to abide by and to be bound by the rules as are in force from time to time for such accounts. I/We also declare that the particulars given by me/us are true to the best of my/our knowledge as on the date of making such application. I/We further agree that any false /misleading information given by me/us or suppression of any material fact will render my/our account liable for termination and further action.

| Applicants       | Name | Signature |
|------------------|------|-----------|
| First Applicant  |      |           |
| Second Applicant |      |           |
| Third Applicant  |      |           |

**15. Special Instructions on operation of Joint Account**

Either or Survivor.  Any one Can operate  Any two will operate jointly

Account will be operated by \_\_\_\_\_ with any one of the others.

**16. Introduction**

Introduction by an existing account holder of ..... Depository Participants Name

I confirm the identity, occupation and address of the applicant (s) ..... Introducer's Name

..... Account ID

(Signature of Introducer)

**Central Depository Bangladesh Limited (CDBL)**  
**Depository Account (BO Account) opened with CDBL Participant**  
**Terms & Conditions - Bye Laws 7.3.3(c)**

CDBL Participant, Dhaka / Chittagong/Sylhet, Bangladesh

Dear Sir,

Please open a Depository account (BO Account) in my/our names(s) on the terms and conditions set out bellow. In consideration of ICB SECURITIES TRADING COMPANY LIMITED (the "CDBL Participant") opening the account providing depository account facilities to me/us, I/we have signed the BO Account Opening Form as a token of acceptance of the terms and conditions set out bellow.

1. I/we agree to be bound by The Depositories Act, 1999, Depositories Regulations, 2000, The Depository (User) Regulations 2003, and abide by the Bye Laws and Operating Instructions issued from time to time by CDBL.
2. CDBL shall allocate a unique identification number to me/us (Account Holder BO ID) for the CDBL Participant to maintain a separate Account for me/us, unless the I/we instructs the CDBL Participant to keep the securities in an Omnibus Account of the CDBL Participant. The CDBL Participant shall however ensure that my/our securities shall not be mixed with the CDBL Participant's own securities.
3. I/we agree to pay such fees, charges and deposits to the CDBL Participant, as may be mutually agreed upon, for the purpose of opening and maintaining my/our account, for carrying out the instructions and for rendering such other services as are incidental or consequential to my/our holding securities in and transacting through the said depository account with the CDBL Participant.
4. I/we shall be responsible for:
  - a) The veracity of all statements and particulars set out in the account opening form, supporting or accompanying documents;
  - b) The Authenticity and genuineness of all certificates and/or documents submitted to the CDBL Participant along with or in support of the account opening form or subsequently for dematerialization;
  - c) Title to the securities submitted to the CDBL Participant from time to time for dematerialization;
  - d) Ensuring at all times that the securities to the credit of my/our account are sufficient to meet the instructions issued to the CDBL Participant for effecting any transaction / transfer;
  - e) Informing the CDBL Participant at the earliest of any changes in my/our account particulars such as address, bank details, status, authorizations, mandates, nomination, signature, etc;
  - f) Furnishing accurate identification details whilst subscribing to any issue of securities.
5. I/we shall notify the CDBL Participant of any change in the particulars set out in the application form submitted to the CDBL Participant at the time of opening the account or furnished to the CDBL Participant from time to time at the earliest. The CDBL Participant shall not be liable or responsible for any loss that may be caused to me/us by reason of my/our failure to intimate such to the CDBL Participant at the earliest.
6. Where I/we have executed a BO Account Nomination Form
  - a) In the event of my/our death, the nominee shall receive/draw the securities held in my/our account.
  - b) In the event, the nominee so authorised remains a minor at the time of my/our death, the legal guardian is authorised to receive/draw the securities held in my/our account.
  - c) The nominee so authorised, shall be entitled to all my/our account to the exclusion of all other persons i.e., my/our heirs, executors and administrators and all other persons claiming through or under me/us and delivery of securities to the nominee in pursuance of this authority shall be binding on all other persons.
7. I/we may at any time call upon the CDBL Participant to close my/our account with the CDBL Participant provided no instruction remain pending or unexecuted and no fees or charges remain payable me/us to the CDBL Participant. In such event I/we may close my/our account by executing the Account Closing Form if no balances are standing to my/our credit in the account. In case any balances of securities exist in the account the account may be closed by me/us in one of the following ways;
  - a) By rematerialization of all existing balances in my/our account;

- b) By transfer of all existing balances in my/our account to one or more of my/our other account(s) held with any other CDBL Participant(s);
  - c) By rematerialization of a part of the existing balances in my/our account and by transferring the rest to one or more of my/our other account(s) with any other CDBL Participant(s);
- 8 CDBL Participant covenants that it shall
- a) act only on the instructions or mandate of the Account Holder or that of such person(s) as may have been duly authorized by the Account Holder in that behalf.
  - b) Not effect any debit or credit to and from the account of the Account Holder Without appropriate instructions from the Account Holder.
  - c) maintain adequate audit trail of the execution of the instructions of the Account Holder.
  - d) not honour or act upon any instructions of effecting any debit to the account of the Account Holder in respect of any securities unless:
    - i) Such instructions are issued by the Account Holder under his signature or that of his/its constituted attorney duly authorized in that behalf;
    - ii) The CDBL Participant is satisfied that the signature of the Account Holder under which instructions are issued matches with the specimen of the Account Holder or his/its constituted attorney available on the records of the CDBL Participant;
    - iii) The balance of clear securities available in the Account Holder's account are sufficient to honour the Account Holder's instructions.
  - e) furnish to the Account Holder a statement of account at the end of every month if there has been even a single entry or transaction during that month, and in any event once at the end of each financial year, The CDBL Participant shall furnish such statements at such shorter periods as may be required by the Account Holder on payment of such charges by the Account holder as may be specified by The CDBL Participant. The Account Holder shall scrutinize every statement of account received from the CDBL Participant for the accuracy and veracity there of and shall promptly bring to the notice of the CDBL Participant any mistakes, inaccuracies or discrepancies in such statements.
  - f) promptly attend to all grievances / complaints of the Account Holder and shall resolve all such grievances / complaints as it relate to matters exclusively within the domain of the CDBL Participant within one month of the same being brought to the notice of the CDBL Participant and shall forthwith forward to and follow up with CDBL all other grievances complaints of the Account Holder on the same being brought to the notice of the CDBL Participant and shall endeavour to resolve the same at the earliest.
- 9 The CDBL Participant shall be entitled to terminate the account relationship in the event of the Account Holder :
- a) Failing to pay the fees or charges as may be mutually agreed upon within a period of one month from the date of demand made in that behalf;
  - b) Submitting for dematerialization any certificates or other documents of title which are forged, fabricated, counterfeit or stolen or have been obtained by forgery or the transfer whereof is restrained or prohibited by any direction, order or decree of any court or the Securities and Exchange Commission;
  - c) Commits or participates in any fraud or other act of moral turpitude in his/its dealings with the CDBL Participant;
  - d) Otherwise misconducts himself in any manner.

10. Declaration and Signature.

I/we hereby acknowledge that I/we have read and understood the aforesaid terms and conditions for operating Depository Account (BO Account) with CDBL Participant and agree to comply with them.

| Applicants       | Full Name | Signature with Date |
|------------------|-----------|---------------------|
| First Applicant  |           |                     |
| Second Applicant |           |                     |
| Third Applicant  |           |                     |



**Nominee 2**

**Name in Full**

.....

**Short Name of Nominee (Insert full name starting with Title i.e. Mr/Mrs/Ms/Dr, abbreviate only if over 30 characters)**

**Title i.e. Mr./Mrs.**

|  |  |
|--|--|
|  |  |
|--|--|

Relationship with A/C Holder:.....

Percentage (%).....

Address

.....

City..... Post Code..... State/Division..... Country..... Telephone.....

Mobile Phone..... Fax..... E-mail.....

Passport No..... Issue Place..... Issue Date ..... Expiry Date.....

**Residency:** Resident  Non Resident  Nationality..... Date of Birth (DDMMYYYY)

**Guardian's Details (if Nominee is a Minor)**

**Name in Full**

.....

**Short Name (Insert full name starting with Title i.e. Mr/Mrs/Ms/Dr, abbreviate only if over 30 characters)**

|  |  |
|--|--|
|  |  |
|--|--|

Relationship with Nominee..... Date of Birth of Minor (DDMMYYYY)..... Maturity Date of Minor (DDMMYYYY).....

Address

.....

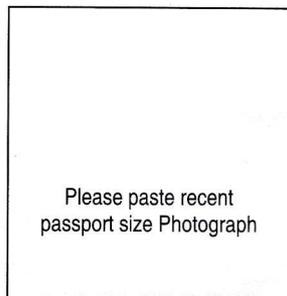
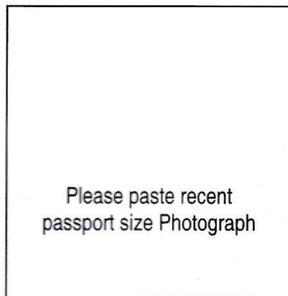
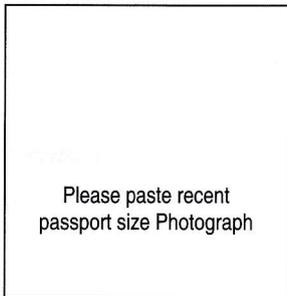
City..... Post Code..... State/Division..... Country..... Telephone.....

Mobile Phone..... Fax..... E-mail.....

Passport No..... Issue Place..... Issue Date ..... Expiry Date.....

**Residency:** Resident  Non Resident  Nationality..... Date of Birth (DDMMYYYY)

**2 Photograph of Nominees / Heirs**



Nominee/Heir 1

Nominee/Heir 2

Guardian 1

Guardian 2

|                        | Name | Signature |
|------------------------|------|-----------|
| Nominee/Heir 1         |      |           |
| Guardian 1             |      |           |
| Nominee/Heir 2         |      |           |
| Guardian 2             |      |           |
| First Account Holder   |      |           |
| Secound Account Holder |      |           |
| Third Account Holder   |      |           |



4. Photograph of Power of Attorney Holder

Please paste  
recent passport  
size Photograph

(POA Holder)

5. DECLARATION

The rules and regulations of the Depository and CDBL Participant pertaining to an account which are in force now have been read by me/us and I/we have understood the same and I/we agree to abide by and to be bound by the rules as are in force from time to time for such accounts. I/We also declare that the particulars given by me/us are true to the best of my/our knowledge as on the date of making such application, I/we further agree that any false/misleading information given by me/us or suppression of any material fact will render my/our account liable for termination and further action.

|                       | Name | Signature |
|-----------------------|------|-----------|
| POA Holder            |      |           |
| First Account Holder  |      |           |
| Second Account Holder |      |           |
| Third Account Holder  |      |           |



# ICB SECURITIES TRADING COMPANY LIMITED

Head Office, Dhaka

KYC Profile Form for CUSTOMER'S Identity  
(For opening Personal and Institutional account)

Date

Please fill this Form in ENGLISH and [ ] in appropriate box. (All information as applicable in the Form below is mandatory)

01. Name of the Account (Block Letters) :

02. BO ID No. : \_\_\_\_\_ Internal Reference No. : \_\_\_\_\_

03. Address

Present : \_\_\_\_\_

Permanent : \_\_\_\_\_

04. Contact Phone Number

Office : \_\_\_\_\_ Residence : \_\_\_\_\_

Cell : \_\_\_\_\_ E-mail : \_\_\_\_\_

05. National ID Number : \_\_\_\_\_ Passport No. : \_\_\_\_\_

06. E-TIN : \_\_\_\_\_ Driving Licence No. : \_\_\_\_\_

07. Bank Information :

Account No. : \_\_\_\_\_ Bank Name : \_\_\_\_\_

Branch Name : \_\_\_\_\_ Routing No : \_\_\_\_\_

08. Occupation :

Government Service

Teaching

Business

Student

Private Sector Service

House Wife

Others

09. Source of Income : \_\_\_\_\_

10. Gross Annual Income (Approx.) :

Upto BDT 5,00,000/-

BDT 5,00,001/- to 10,00,000/-

BDT 10,00,000/- to BDT 25,00,000/-

BDT 25,00,000/- & above

11. How has the source of Fund been confirmed ? : \_\_\_\_\_

12. Name, Occupation and other details in brief (incase of the Shareholder who holds 2% or more shares of a Listed Company) :

KYC Profile for CUSTOMER Identity  
(For opening Personal and Institutional account)

|   |                                   |
|---|-----------------------------------|
| 13. Has the Address of the Customer been confirmed? : | Yes / No [Mark]                   |
| 14. Passport No.:                                     | With photocopy? : Yes / No [Mark] |
| 15. National ID No. with Signature:                   | With photocopy? : Yes / No [Mark] |
| 16. Tax Identification No. (TIN):                     | With photocopy? : Yes / No [Mark] |
| 17. VAT Reg. No.:                                     | With photocopy? : Yes / No [Mark] |
| 18. Driving Licience No.:                             | With photocopy? : Yes / No [Mark] |
| 19. Electricity Bill:                                 | With photocopy? : Yes / No [Mark] |

20. Prolitical Exposed Person (PEPs):

- i. Whether Approval has been obtained from Senior Management? Yes / No [Mark]
- ii. Source of Wealth:

- iii. Face to Face Meeting has taken withthe Customer : Yes / No [Mark]

**Declaration:** I declare that information provided by me on the above Form is true and correct to the best of my knowledge and belief.

Signature of the Applicant :

Date : \_\_/\_\_/\_\_\_\_

**For Office use only**

Checked By :

Name with Signature, Seal & Date

Verified By :

Name with Signature, Seal & Date

