

Checklist

**[Referred by Rule 3(1)]
Financial Reporting Council
Auditor Enlistment**

Annexure-1 (i): Copy of E-TIN certificate	Yes <input type="checkbox"/> , No <input type="checkbox"/>
Annexure-1 (ii): Certificate of income tax return submission acknowledgement for the last financial year	Yes <input type="checkbox"/> , No <input type="checkbox"/>
Annexure-2: Membership certificate of the concerned professional accountancy institute or certificate of passing the examination of the professional accountancy institute or copy of the certification of the said certificate by the concerned professional accountancy institute	Yes <input type="checkbox"/> , No <input type="checkbox"/>
Annexure-3: Copy of Certificate of Practice (CoP)	Yes <input type="checkbox"/> , No <input type="checkbox"/> Not Applicable <input type="checkbox"/>
Annexure-4: Copy of NID/ Passport	Yes <input type="checkbox"/> , No <input type="checkbox"/>
Annexure-5: Passport size color photo (02 copies)	Yes <input type="checkbox"/> , No <input type="checkbox"/>
Annexure-6: Copies of complaints/orders taken by professional accountancy institute or any other regulatory authority against the auditor in the last 03 (Three) years	Yes <input type="checkbox"/> , No <input type="checkbox"/> Not Applicable <input type="checkbox"/>
Annexure-7: Copies of complaints/orders taken by professional accountancy institute or any other regulatory authority against the applicant's audit firm in the last 03 (Three) years	Yes <input type="checkbox"/> , No <input type="checkbox"/> Not Applicable <input type="checkbox"/>
Annexure-8: Online/Offline fee payment receipt for deposit of fee prescribed in Schedule-II of the Rules	Yes <input type="checkbox"/> , No <input type="checkbox"/>