



Memo No.: 46.113.633.00.00.012.2020-21/134

Date: 31 / 03 /2021

Corrigendum Notice

The Initial Selection Document (ISD) and the corresponding Specific Procurement Notice (SPN) for Design and Build (DB) Contract for Reconstruction of Eastern Trunk Main (Package No. WD2) issued dated: 22 February, 2021 (Ref.: ISD No.: 03/ICB/DSIP) has the following amendments:

(a) Section II – Initial Selection Data Sheet (ISDS) - ITA 17.1

The text shall be changed as follows:

The deadline for Application submission will be **on or before 26 April 2021 by 1400 hours Bangladesh Standard Time (GMT + 6 hours)** instead of 12 April, 2021.

(b) Section II – Initial Selection Data Sheet (ISDS) - ITA 19.1

The text shall be changed as follows:

The opening of the Applications shall be at 1500 hours, Bangladesh Standard Time (GMT+6) on the last date of receiving the Applications and at the address as mentioned under ITA 17.1 of the ISD..

(c) Section III – Initial Selection Criteria and Requirements - Table – 1 Criteria - 3.1 Financial Capabilities

The text shall be changed as follows:

(iii) The audited balance sheets or, if not required by the laws of the Applicants own country, other financial statements acceptable to the Employer, for the last five years i.e. 2015, 2016, 2017, 2018 and 2019 shall be submitted and must demonstrate the current soundness of the Applicant's financial position and indicate its prospective long-term profitability.

(d) Section III – Initial Selection Criteria and Requirements - Table – 1 Criteria - 3.2 Average Annual Turnover

The text shall be changed as follows:

Minimum average annual construction turnover of 45 (Forty-five) million USD calculated as total certified payments received for contracts in progress and/or completed within the last five (5) years (i.e. 2015, 2016, 2017, 2018 and 2019) starting 1st January 2015, divided by five (5).

(e) Section IV – Application Forms - Form FIN-3.2 Average Annual Construction Turnover

The years mentioned in the Table: Annual Construction Turnover Data as 2020, 2019, 2018, 2017, 2016 shall be replaced as 2019, 2018, 2017, 2016, 2015 respectively.

All other information of the ISD and the SPN shall remain unchanged.

Aseem
31/03/2021
Md. Rafiqul Islam

Project Director

Dhaka Sanitation Improvement Project (DSIP)

Dhaka Water Supply and Sewerage Authority (DWASA)

WASA Bhaban (11th Floor)

98, Kazi Nazrul Islam Avenue, Kawranbazar

Dhaka-1215, Bangladesh.

Phone: 880-2-8180134

E-mail: pd.dsip2018@gmail.com

Website: www.dwasa.org.bd



Government of the Peoples' Republic of Bangladesh
Dhaka Water Supply and Sewerage Authority (DWASA)
Dhaka Sanitation Improvement Project (DSIP)
IDA Credit Number: 6566-BD/AIIB Loan No.: L0240A



শেখ হাসিনার মূলনীতি
থাম শহরের উন্নতি

ISD No.: 03/ICB/DSIP
Issued on: 22 February, 2021

Specific Procurement Notice

Invitation for Initial Selection Works Service (Design and Build)

Employer: Dhaka Water Supply and Sewerage Authority

Project: Dhaka Sanitation Improvement Project (DSIP)

Contract title: Design and Build (DB) Contract for Reconstruction of Eastern Trunk Main (Package No. WD2).

Country: Bangladesh

IDA Credit No.: 6566-BD; **AIIB Loan No.:** L0240A

ISD No.: 03/ICB/DSIP

Issued on: 22 February, 2021

1. The Government of the People's Republic of Bangladesh has received financing from the World Bank towards the cost of the Dhaka Sanitation Improvement Project (DSIP), and intends to apply part of the proceeds toward payments under the contract for Design and Build (DB) Contract for Reconstruction of Eastern Trunk Main (Package No.:WD2). This contract will be jointly financed by Asian Infrastructure Investment Bank. Procurement process will be governed by the World Bank's Procurement Regulations.
2. Dhaka Water Supply and Sewerage Authority intends to initially select Applicants for the said package (Package No.:WD2). Please visit DWASA website (www.dwasa.org.bd) for performance/functional requirements, site location and qualification requirements and other necessary information which are specified in the relevant Initial Selection Document (ISD) also available in the above mentioned website. It is expected that the Request for Proposals will be made available by June, 2021.
3. Initial Selection will be conducted through the procedures as specified in the World Bank's Procurement Regulations for IPF Borrowers July, 2016, Revised November 2017 and August 2018 ("Procurement Regulations"), and is open to all eligible Applicants as defined in the Procurement Regulations.
4. Interested eligible Applicants may obtain further information from Dhaka Water Supply and Sewerage Authority (DWASA) at the email address mentioned below during office hours from 0900 to 1600 hours (GMT+6) between Sunday and Thursday. A read-only copy of the Initial Selection documents will also be uploaded in DWASA's website: www.dwasa.org.bd.
5. A complete set of the Initial Selection documents in English may be purchased by interested Applicants on the submission of a written application letter, which may be sent through email

from the Applicant's official e-mail address to the Employer's email address specified below, along with a copy of documentary evidence confirming electronic wire transfer or cash deposit payment of a non-refundable fee of BDT 15,000.00 (Bangladesh Taka Fifteen Thousand) or USD 150.00 (United States Dollars One Hundred and Fifty) (**the Bank Transaction Reference Number should be explicitly mentioned**). The method of payment will be electronic wire transfer or cash deposit to the Employer's bank account specified below. **Please clearly mention "Regarding purchase of ISD for Reconstruction of Eastern Trunk Main (Package Number: WD2)" in the email's subject line.** Within 3 (three) working days of receipt of the payment in the Employer's bank account, the Employer shall send through reply email to the Applicant an electronic copy of the Initial Selection Documents in PDF format.

6. Applications for Initial Selection may be submitted, at the Applicant's choice, through email to the email address below, or in hard copy in a clearly marked envelope (but, submission of both hard and soft copies is preferable), delivered to the address below by **1400 hours Bangladesh Standard Time (GMT + 6) on or before 12 April, 2021**. Please note that in case of submission through email, the Employer shall not be responsible for the confidentiality of the submitted applications prior to the submission deadline. In this case, the Employer shall not be responsible if the Application/s are not accessible by the Employer under any circumstances. The Employer reserves the right to accept or reject late application.



Md. Rafiqul Islam
Project Director
Dhaka Sanitation Improvement Project (DSIP)
Dhaka Water Supply and Sewerage Authority (DWASA)
WASA Bhaban, 11th Floor, 98 Kazi Nazrul Islam Avenue,
Kawranbazar, Dhaka-1215
Phone: +88 02 8180134
E-mail: pd.dsip2018@gmail.com
Website: www.dwasa.org.bd

Employer's bank account for electronic wire transfer or cash deposit:

Account Name: DHAKA WASA TENDER DOCUMENTS
Account Number: 0200000798974
Bank Name: AGRANI BANK LIMITED
Branch Name: WASA CORP. BRANCH
SWIFT Code: AGBKBDDH012 (For Overseas Transaction)
Routing Number: 010264728

GOVERNMENT OF THE PEOPLES' REPUBLIC OF BANGLADESH
DHAKA WATER SUPPLY & SEWERAGE AUTHORITY (DWASA)

Dhaka Sanitation Improvement Project (DSIP)

**IDA Credit No.: 6566-BD
AIIB Loan No.: L0240A**

**Initial Selection Document
Works
(Design and Build)**

***Procurement of:*
Design and Build Contract for
Reconstruction of Eastern Trunk Main
(Package No. WD2)**

Employer: Dhaka Water Supply and Sewerage Authority

Project: Dhaka Sanitation Improvement Project (DSIP)

Contract title: Design and Build (DB) Contract for Reconstruction of Eastern Trunk Main
(Package No. WD2).

Country: Bangladesh

IDA Credit No.: 6566-BD; **AIIB Loan No.:** L0240A

ISD No.: 03/ICB/DSIP

Issued on: 22 February, 2021

Specific Procurement Notice

Invitation for Initial Selection Works (Design and Build)

Employer: Dhaka Water Supply and Sewerage Authority

Project: Dhaka Sanitation Improvement Project (DSIP)

Contract title: Design and Build (DB) Contract for Reconstruction of Eastern Trunk Main (Package No. WD2).

Country: Bangladesh

IDA Credit No.: 6566-BD/AIIB **Loan No.:** L0240A

ISD No.: 03/ICB/DSIP

Issued on: 22 February, 2021

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through email from the Applicant's official e-mail address to the Employer's email address specified below, along with a copy of documentary evidence confirming electronic wire transfer or cash deposit payment of a non-refundable fee of BDT 15,000.00 (Bangladesh Taka Fifteen Thousand) or USD 150.00 (United States Dollars One Hundred and Fifty) **(the Bank Transaction Reference Number should be explicitly mentioned)**. The method of payment will be electronic wire transfer or cash deposit to the Employer's bank account specified below. **Please clearly mention "Regarding purchase of ISD for Reconstruction of Eastern Trunk Main (Package Number: WD2)" in the email's subject line.** Within 3 (three) working days of receipt of the payment in the Employer's bank account, the Employer shall send through reply email to the Applicant an electronic copy of the Initial Selection Documents in PDF format.

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WASA Bhaban, 11th Floor, 98 Kazi Nazrul Islam Avenue,
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Employer's bank account for electronic wire transfer or cash deposit:

Account Name: DHAKA WASA TENDER DOCUMENTS
Account Number: 0200000798974
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PART 1 – Initial Selection Procedures

Section I - Instructions to Applicants

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Section I - Instructions to Applicants (ITA)

A. General

- 1. Scope of Application**
 - 1.1 In connection with the invitation for Initial Selection indicated in Section II, Initial Selection Data Sheet (**ISDS**), the Employer, as defined **in the ISDS**, issues this Initial Selection Document (“Initial Selection Document”) to prospective applicants (“Applicants”) interested in submitting applications (“Applications”) for Initial Selection to submit Proposals for the Works Design and Build described in Section VII, Scope of Employer’s Requirement. In case proposals for the Works Design and Build are to be invited as individual contracts (i.e., the slice and package procedure), these are listed **in the ISDS**. The Request for Proposals (RFP) number corresponding to this Initial Selection is also provided **in the ISDS**.

- 2. Source of Funds**
 - 2.1 The Borrower or Recipient (hereinafter called “Borrower”) indicated **in the ISDS** has applied for or received financing (hereinafter called “funds”) from the International Bank for Reconstruction and Development or the International Development Association (hereinafter called “the Bank”) in an amount specified **in the ISDS**, towards the cost of the project named **in the ISDS**. The Borrower intends to apply a portion of the funds to eligible payments under the contract(s) resulting from the Request for Proposals (RFP) process for which this Initial Selection is conducted.
 - 2.2 Payment by the Bank will be made only at the request of the Borrower and upon approval by the Bank, and will be subject, in all respects, to the terms and conditions of the Loan (or other financing) Agreement. The Loan (or other financing) Agreement prohibits a withdrawal from the loan (or credit) account for the purpose of any payment to persons or entities, or for any import of goods, equipment, plant or materials, or services if such payment or import, to the knowledge of the Bank, is prohibited by a decision of the United Nations Security Council taken under Chapter VII of the Charter of the United Nations. No party other than the Borrower shall derive any rights from the Loan (or other financing) Agreement or have any claim to the proceeds of the loan (or credit).

- 3. Fraud and Corruption**
 - 3.1 The Bank requires compliance with the Bank’s Anti-Corruption Guidelines and its prevailing sanctions policies and

procedures as set forth in the WBG’s Sanctions Framework, as set forth in Section VI, Fraud and Corruption.

- 3.2 In further pursuance of this policy, Applicants shall permit and shall cause their agents (where declared or not), subcontractors, subconsultants, service providers, suppliers, and personnel, to permit the Bank to inspect all accounts, records and other documents relating to any Prequalification process, Initial Selection process, Bid submission (in case prequalified), Proposal submission (in case initially selected) and contract performance (in the case of award), and to have them audited by auditors appointed by the Bank.

4. Eligible Applicants

- 4.1 Applicants shall meet the eligibility criteria as per this Instruction and ITA 5.1.
- 4.2 An Applicant may be a firm that is a private entity, a state-owned enterprise or institution subject to ITA 4.9 - or any combination of such entities in the form of a joint venture (“JV”) under an existing agreement or with the intent to enter into such an agreement supported by a letter of intent. In the case of a joint venture, all members shall be jointly and severally liable for the execution of the entire Contract in accordance with the Contract terms. The JV shall nominate an authorized representative who shall have the authority to conduct all business for and on behalf of any and all the members of the JV during the Initial Selection process, RFP process (in the event the JV submits a Proposal) and during contract execution (in the event the JV is awarded the Contract). Unless specified **in the ISDS**, there is no limit on the number of members in a JV.
- 4.3 A firm is not permitted to participate for initial selection for the same contract both as an individual firm and as a part of a joint venture or as a subcontractor. However, a firm may participate as a subcontractor in more than one Application but only in the capacity of a subcontractor. Applications submitted in violation of this procedure will be rejected
- 4.4 A firm and any of its affiliates (that directly or indirectly control, are controlled by or are under common control with that firm) are not permitted to submit more than one application for initial selection for the same contract, either individually, as joint venture or as a subcontractor among them. Applications submitted in violation of this procedure will be rejected.
- 4.5 An Applicant may have the nationality of any country, subject to the restrictions pursuant to ITA 5.1. An Applicant shall be deemed to have the nationality of a country if the Applicant is constituted,

incorporated or registered in and operates in conformity with the provisions of the laws of that country, as evidenced by its articles of incorporation (or equivalent documents of constitution or association) and its registration documents, as the case may be. This criterion also shall apply to the determination of the nationality of proposed specialized sub-contractors or suppliers for any part of the Contract including related Services.

- 4.6 Applicants and proposed specialized sub-contractors or suppliers for any part of the Contract including related services (for the purpose of this ITA 4.6 referred to as “Applicants”) shall not have a conflict of interest. Applicants shall be considered to have a conflict of interest, if they, or any of their affiliates, participated as a consultant in the preparation of the Employer’s Requirement (other than design to be carried out as part of this Works Design and Build contract) or have been hired or proposed to be hired by the Employer or Borrower as Engineer for contract implementation of the Works Design and Build that are the subject of this Initial Selection. In addition, Applicants may be considered to have a conflict of interest if they have a close business or family relationship with a professional staff of the Borrower (or of the project implementing agency, or of a recipient of a part of the loan) who: (i) are directly or indirectly involved in the preparation of the Initial Selection Document or Request for Proposals (RFP) Document or specifications of the Contract, and/or the Proposal evaluation process of such Contract; or (ii) would be involved in the implementation or supervision of such Contract, unless the conflict stemming from such relationship has been resolved in a manner acceptable to the Bank throughout the procurement process and execution of the Contract.
- 4.7 An Applicant that has been sanctioned by the Bank, pursuant to the Bank’s Anti-Corruption Guidelines, and in accordance with its prevailing sanctions policies and procedures as set forth in the WBG’s Sanctions Framework, as described in Section VI, paragraph 2.2 d. shall be ineligible to be prequalified for, initially selected for, bid for, propose for or be awarded a Bank-financed contract or benefit from a Bank-financed contract, financially or otherwise, during such period of time as the Bank shall have determined.
- 4.8 The list of debarred firms and individuals is available as specified **in the ISDS**.
- 4.9 Applicants that are state-owned enterprise or institutions in the Employer’s Country may be eligible to be initially selected, compete and be awarded a Contract(s) only if they can

establish, in a manner acceptable to the Bank, that they (i) are legally and financially autonomous (ii) operate under commercial law, and (iii) are not under supervision of the Employer.

4.10 An Applicant shall not be under suspension from bidding or submitting proposals by the Employer as the result of the execution of a Bid or Proposal–Securing Declaration.

4.11 An Applicant shall provide such documentary evidence of eligibility satisfactory to the Employer, as the Employer shall reasonably request.

4.12 A firm that is under a sanction of debarment by the Borrower from being awarded a contract is eligible to participate in this procurement, unless the Bank, at the Borrower’s request, is satisfied that the debarment:

- (a) relates to fraud or corruption; and
- (b) followed a judicial or administrative proceeding that afforded the firm adequate due process.

5. Eligibility

5.1 Firms and individuals may be ineligible if they are nationals of ineligible countries as indicated in Section V. The countries, persons or entities are ineligible if (a) as a matter of law or official regulations, the Borrower’s country prohibits commercial relations with that country, provided that the Bank is satisfied that such exclusion does not preclude effective competition for the supply of goods or the contracting of works or services required; or (b) by an act of compliance with a decision of the United Nations Security Council taken under Chapter VII of the Charter of the United Nations, the Borrower’s country prohibits any import of goods or contracting of works or services from that country, or any payments to any country, person, or entity in that country. When the Works Design and Build is implemented across jurisdictional boundaries (and more than one country is a Borrower, and is involved in the procurement), then exclusion of a firm or individual on the basis of ITA 5.1(a) above by any country may be applied to that procurement across other countries involved, if the Bank and the Borrowers involved in the procurement agree.

B. Contents of the Initial Selection Document

6. Sections of Initial Selection Document

6.1 This Initial Selection Document consists of parts 1 and 2 which comprise all the sections indicated below, and which should be

read in conjunction with any Addendum issued in accordance with ITA 8.

PART 1 Initial Selection Procedures

- Section I - Instructions to Applicants (ITA)
- Section II - Initial Selection Data Sheet (ISDS)
- Section III - Initial Selection Criteria and Requirements
- Section IV - Application Forms
- Section V – Eligible Countries
- Section VI – Fraud and Corruption

PART 2 Employer’s Requirements

- Section VII - Scope of Employer’s Requirement

6.2 Unless obtained directly from the Employer, the Employer accepts no responsibility for the completeness of the document, responses to requests for clarification, the minutes of the pre-Application meeting (if any), or Addenda to the Initial Selection Document in accordance with ITA 8. In case of any discrepancies, documents issued directly by the Employer shall prevail.

6.3 The Applicant is expected to examine all instructions, forms, and terms in the Initial Selection Document and to furnish with its Application all information or documentation as is required by the Initial Selection Document.

7. Clarification of Initial Selection Document and Pre-Application Meeting

7.1 An Applicant requiring any clarification of the Initial Selection Document shall contact the Employer in writing at the Employer’s address indicated **in the ISDS**. The Employer will respond in writing to any request for clarification provided that such request is received no later than fourteen (14) days prior to the deadline for submission of the applications. The Employer shall forward a copy of its response to all prospective Applicants who have obtained the Initial Selection Document directly from the Employer, including a description of the inquiry but without identifying its source. If so indicated **in the ISDS**, the Employer shall also promptly publish its response at the web page identified **in the ISDS**. Should the Employer deem it necessary to amend the Initial Selection Document as a

result of a clarification, it shall do so following the procedure under ITA 8 and in accordance with the provisions of ITA 17.2.

7.2 If indicated **in the ISDS**, the Applicant's designated representative is invited at the Applicant's cost to attend a pre-Application meeting at the place, date and time mentioned **in the ISDS**. During this pre-Application meeting, prospective Applicants may request clarification of the project requirement, the criteria for qualifications or any other aspects of the Initial Selection Document.

7.3 Minutes of the pre-Application meeting, if applicable, including the text of the questions asked by Applicants, including those during the meeting (without identifying the source) and the responses given, together with any responses prepared after the meeting will be transmitted promptly to all prospective Applicants who have obtained the Initial Selection Document. Any modification to the Initial Selection Document that may become necessary as a result of the pre-Application meeting shall be made by the Employer exclusively through the use of an Addendum pursuant to ITA 8. Non-attendance at the pre-Application meeting will not be a cause for disqualification of an Applicant.

8. Amendment of Initial Selection Document

8.1 At any time prior to the deadline for submission of Applications, the Employer may amend the Initial Selection Document by issuing an Addendum.

8.2 Any Addendum issued shall be part of the Initial Selection Document and shall be communicated in writing to all Applicants who have obtained the Initial Selection Document from the Employer. The Employer shall promptly publish the Addendum at the Employer's web page identified **in the ISDS**.

8.3 To give Applicants reasonable time to take an Addendum into account in preparing their Applications, the Employer may, at its discretion, extend the deadline for the submission of Applications in accordance with ITA 17.2.

C. Preparation of Applications

9. Cost of Applications

9.1 The Applicant shall bear all costs associated with the preparation and submission of its Application. The Employer will in no case be responsible or liable for those costs, regardless of the conduct or outcome of the Initial Selection process.

10. Language of Application

10.1 The Application as well as all correspondence and documents relating to the Initial Selection exchanged by the Applicant and

the Employer, shall be written in the language specified **in the ISDS**. Supporting documents and printed literature that are part of the Application may be in another language, provided they are accompanied by an accurate translation of the relevant passages in the language specified **in the ISDS**, in which case, for purposes of interpretation of the Application, the translation shall govern.

**11. Documents
Comprising the
Application**

11.1 The Application shall comprise the following:

- (a) **Application Submission Letter**, in accordance with ITA 12.1;
- (b) **Eligibility:** documentary evidence establishing the Applicant's eligibility, in accordance with ITA 13.1;
- (c) **Qualifications:** documentary evidence establishing the Applicant's qualifications, in accordance with ITA 14; and
- (d) any other document required as specified **in the ISDS**.

11.2 The Applicant shall furnish information on commissions and gratuities, if any, paid or to be paid to agents or any other party relating to this Application

**12. Application
Submission Letter**

12.1 The Applicant shall complete an Application Submission Letter as provided in Section IV, Application Forms. This Letter must be completed without any alteration to its format.

**13. Documents
Establishing the
Eligibility of the
Applicant**

13.1 To establish its eligibility in accordance with ITA 4, the Applicant shall complete the eligibility declarations in the Application Submission Letter and Forms ELI (eligibility) 1.1 and 1.2, included in Section IV, Application Forms.

**14. Documents
Establishing the
Qualifications of the
Applicant**

14.1 To establish its qualifications to perform the contract(s) in accordance with Section III - Initial Selection Criteria and Requirements, the Applicant shall provide the information requested in the corresponding Information Sheets included in Section IV, Application Forms.

14.2 Wherever an Application Form requires an Applicant to state a monetary amount, Applicants should indicate the USD equivalent using the rate of exchange determined as follows:

- (a) for construction turnover or financial data required for each year - exchange rate prevailing on the last day of the respective calendar year (in which the amounts for that year are to be converted); and

- (b) value of single contract - exchange rate prevailing on the date of the contract.

Exchange rates shall be taken from the publicly available source identified **in the ISDS**. Any error in determining the exchange rates in the Application may be corrected by the Employer.

15. Signing of the Application and Number of Copies

15.1 The Applicant shall prepare one original of the documents comprising the Application as described in ITA 11 and clearly mark it “ORIGINAL”. The original of the Application shall be typed or written in indelible ink and shall be signed by a person duly authorized to sign on behalf of the Applicant. In case the Applicant is a JV, the Application shall be signed by an authorized representative of the JV on behalf of the JV and so as to be legally binding on all the members as evidenced by a power of attorney signed by their legally authorized signatories.

15.2 The Applicant shall submit copies of the signed original Application, in the number specified **in the ISDS**, and clearly mark them “COPY”. In the event of any discrepancy between the original and the copies, the original shall prevail.

D. Submission of Applications

16. Sealing and Marking of Applications

16.1 The Applicant shall enclose the original and the copies of the Application in a sealed envelope that shall:

- (a) bear the name and address of the Applicant;
- (b) be addressed to the Employer, in accordance with ITA 17.1; and
- (c) bear the specific identification of this Initial Selection process indicated **in the ISDS** 1.1.

16.2 The Employer will accept no responsibility for not processing any envelope that was not identified as required in ITA 16.1 above.

17. Deadline for Submission of Applications

17.1 Applicants may either submit their Applications by mail or by hand. Applications shall be received by the Employer at the address and no later than the deadline indicated **in the ISDS**. When so specified **in the ISDS**, Applicants have the option of submitting their Applications electronically, in accordance with electronic Application submission procedures specified **in the ISDS**.

17.2 The Employer may, at its discretion, extend the deadline for the submission of Applications by amending the Initial Selection Document in accordance with ITA 8, in which case all rights and obligations of the Employer and the Applicants subject to the previous deadline shall thereafter be subject to the deadline as extended.

18. Late Applications

18.1 The Employer reserves the right to accept applications received after the deadline for submission of applications, unless otherwise specified **in the ISDS**.

19. Opening of Applications

19.1 The Employer shall open all Applications at the date, time and place specified **in the ISDS**. Late Applications shall be treated in accordance with ITA 18.1.

19.2 Applications submitted electronically (if permitted pursuant to ITA 17.1) shall be opened in accordance with the procedures specified in the **ISDS**.

19.3 The Employer shall prepare a record of the opening of Applications to include, as a minimum, the name of the Applicants. A copy of the record shall be distributed to all Applicants.

E. Procedures for Evaluation of Applications

20. Confidentiality

20.1 Information relating to the Applications, their evaluation and results of the Initial Selection shall not be disclosed to Applicants or any other persons not officially concerned with the Initial Selection process until the notification of Initial Selection results is made to all Applicants in accordance with ITA 28.

20.2 From the deadline for submission of Applications to the time of notification of the results of the Initial Selection in accordance with ITA 28, any Applicant that wishes to contact the Employer on any matter related to the Initial Selection process may do so only in writing.

21. Clarification of Applications

21.1 To assist in the evaluation of Applications, the Employer may, at its discretion, ask an Applicant for a clarification (including missing documents) of its Application, to be submitted within a stated reasonable period of time. Any request for clarification from the Employer and all clarifications from the Applicant shall be in writing.

21.2 If an Applicant does not provide clarifications and/or documents requested by the date and time set in the Employer's request for clarification, its Application shall be evaluated based

on the information and documents available at the time of evaluation of the Application.

22. Responsiveness of Applications

22.1 The Employer may reject any Application which is not responsive to the requirements of the Initial Selection Document. In case the information furnished by the Applicant is incomplete or otherwise requires clarification as per ITA 21.1, and the Applicant fails to provide satisfactory clarification and/or missing information, it may result in disqualification of the Applicant.

23. Margin of Preference

23.1 Unless otherwise specified in the ISDS, a margin of preference for domestic Proposers¹ shall not apply in the RFP process resulting from this Initial Selection.

24. Subcontractors

24.1 Unless otherwise stated **in the ISDS**, the Employer does not intend to execute any specific elements of the Works Design and Build by sub-contractors selected in advance by the Employer (so-called “Nominated Subcontractors”).

24.2 The Applicant shall not propose to subcontract the whole of the contract. The Applicant may propose subcontractors for certain specialized parts of the contract. Applicants planning to use such specialized subcontractors shall specify, in the Application Submission Letter, the parts of the contract proposed to be subcontracted along with details of the proposed subcontractors including their qualification and experience.

F. Evaluation of Applications and Initial Selection of Applicants

25. Evaluation of Applications

25.1 The Employer shall use the factors, methods, criteria, and requirements defined in Section III, Initial Selection Criteria and Requirements and the ISDS to evaluate the qualifications of the Applicants, and no other factors, methods, criteria, or requirements shall be used. The Employer reserves the right to waive minor deviations from the qualification criteria if they do not materially affect the technical capability and financial resources of an Applicant to perform the Contract.

25.2 Subcontractors proposed by the Applicant shall be fully qualified for their parts of the contract. The subcontractor’s qualifications shall not be used by the Applicant to qualify for

¹ An individual firm is considered a domestic Proposer for purposes of the margin of preference if it is registered in the country of the Employer, has more than 50 percent ownership by nationals of the country of the Employer, and if it does not subcontract more than 10 percent of the contract price, excluding provisional sums, to foreign contractors. JVs are considered as domestic Proposers and eligible for domestic preference only if the individual member firms are registered in the country of the Employer, have more than 50 percent ownership by nationals of the country of the Employer, and the JV shall be registered in the country of the Borrower. The JV shall not subcontract more than 10 percent of the contract price, excluding provisional sums, to foreign firms. JVs between foreign and national firms will not be eligible for domestic preference.

the contract unless the applicant designates them as Specialized Subcontractors, in which case, the qualifications of the Specialized Subcontractor proposed by the Applicant may be added to the qualifications of the Applicant for the purpose of the evaluation, if specified in ISDS.

25.3 In case of multiple contracts, Applicants should indicate in their Applications the individual contract or combination of contracts in which they are interested. The Employer shall initially select each Applicant for the maximum combination of contracts for which the Applicant has thereby indicated its interest and for which the Applicant meets the appropriate aggregate requirements specified in Section III - Initial Selection Criteria and Requirements.

25.4 However, with respect to the specific experience under item Section III (Qualification Criteria and Requirements), 4.2, the Employer will select any one or more of the options as identified below:

N is the minimum number of contracts

V is the minimum value of a single contract.

(a) Initial Selection for one Contract:

Option 1: (i) N contracts, each of minimum value V;

Or

Option 2: (i) N contracts, each of minimum value V,

Or

(ii) Less than or equal to N contracts, each of minimum value V, but with total value of all contracts equal or more than $N \times V$

(b) Initial Selection for Multiple Contracts

Option 1: (i) Minimum requirements for combined contract(s) shall be the aggregate requirements for each contract for which the Applicant has applied for as follows, and N1, N2, N3, etc. shall be different contracts:

Lot 1: N1 contracts, each of minimum value V1;

Lot 2: N2 contracts, each of minimum value V2;

Lot 3: N3 contracts, each of minimum

value V3; ----etc.

Or

Option 2: (i) Minimum requirements for combined contract(s) shall be the aggregate requirements for each contract for which the Applicant has applied for as follows, and N1, N2, N3, etc. shall be different contracts:

Lot 1: N1 contracts, each of minimum value V1;

Lot 2: N2 contracts, each of minimum value V2;

Lot 3: N3 contracts, each of minimum value V3; ----etc.,

Or

(ii) **Lot 1:** N1 contracts, each of minimum value V1; or number of contracts less than or equal to N1, each of minimum value V1, but with total value of all contracts equal or more than $N1 \times V1$

Lot 2: N2 contracts, each of minimum value V2; or number of contracts less than or equal to N2, each of minimum value V2, but with total value of all contracts equal or more than $N2 \times V2$

Lot 3: N3 contracts, each of minimum value V3; or number of contracts less than or equal to N3, each of minimum value V3, but with total value of all contracts equal or more than $N3 \times V3$ ----etc.

Or

Option 3: (i) Minimum requirements for combined contract(s) shall be the aggregate requirements for each contract for which the Applicant has applied for as follows, and N1, N2, N3, etc. shall be different contracts:

Lot 1: N1 contracts, each of minimum value V1;

Lot 2: N2 contracts, each of minimum

value V2;

Lot 3: N3 contracts, each of minimum value V3; ----etc.,

Or

(ii) **Lot 1:** N1 contracts, each of minimum value V1; or number of contracts less than or equal to N1, each of minimum value V1, but with total value of all contracts equal or more than $N1 \times V1$

Lot 2: N2 contracts, each of minimum value V2; or number of contracts less than or equal to N2, each of minimum value V2, but with total value of all contracts equal or more than $N2 \times V2$

Lot 3: N3 contracts, each of minimum value V3; or number of contracts less than or equal to N3, each of minimum value V3, but with total value of all contracts equal or more than $N3 \times V3$ ----etc.,

Or

(iii) Subject to compliance as per (ii) above with respect to minimum value of single contract for each lot, total number of contracts is equal or less than $N1 + N2 + N3$ ---but the total value of all such contracts is equal or more than $N1 \times V1 + N2 \times V2 + N3 \times V3$ +---

25.5 Only the qualifications of the Applicant shall be considered. The qualifications of other firms, including the Applicant's subsidiaries, parent entities, affiliates, subcontractors (other than specialized subcontractors in accordance with ITA 25.2 above) or any other firm(s) different from the Applicant shall not be considered.

26. Employer's Right to Accept or Reject Applicants

26.1 The Employer reserves the right to accept or reject any Application, and to annul the Initial Selection process and reject all Applicants at any time, without thereby incurring any liability to the Applicants.

27. Initial Selection of Applicants

27.1 The range of Applicants that the Employer may Initially Select (x=minimum number, y = maximum number) is specified in the ISDS.

27.2 Initial Selection of Applicants involves several steps, as follows:

- (a) **Step 1 - Table 1 Evaluation:** The first step of Initial Selection involves evaluation against the methods, criteria and requirements described in Section III, Table 1: Qualification Criteria and Requirements;
- (b) **Step 2 - Rejection:** Applicants that do not substantially meet the qualification criteria and requirements in Table 1: Qualification Criteria and Requirements will not be evaluated further, and will be eliminated from the Initial Selection process;
- (c) **Step 3 - Long List:** Applicants that substantially meet the qualification criteria and requirements in Table 1: Qualification Criteria and Requirements will be long listed, and evaluated further;
- (d) **Step 4 -** Depending on the number of Applicants that are long listed, one of the following options will apply:

Option 1: All are Initially Selected: Where the number of long listed Applicants is $\leq x$, all long-listed Applicants are Initially Selected. In this scenario, there is no requirement to evaluate these long-listed Applicants against Table 2: Rated Criteria and Requirements;

Option 2: Applicants are evaluated using Table 2 Evaluation: Where the number of long listed Applicants is $> x$, the Employer shall evaluate all long-listed Applicants against Table 2: Rated Criteria and Requirements. This evaluation method involves scoring each Application against rated criteria using the scoring methodology described;

- (e) **Step 5 - Rank Applicants:** The total scores, from this step of the Initial Selection evaluation, for each long-listed Applicant are compared, and the Applicants are ranked from the highest to the lowest total score;
- (f) **Step 6 - Initial Selection up to x:** In accordance with the values selected by the Employer for x and y , the Employer Initially Selects the Applicants that are ranked from the highest score, to the Applicants ranked as x ;

(g) **Step 7 – Reject y+1 Applicants:** Where the number of long listed Applicants is $>y$, the Employer rejects all Applicants that are ranked greater than y , i.e. ranked as $y+1$, $y+2$, $y+3$, etc.;

(h) **Step 8 - Optional, at the Employer’s Discretion:** Ranked Applicants which are greater than x , up to, and including y , are not normally Initially Selected. However, the Employer may, at its sole discretion, if justified, Initially Select one or more additional Applicant (s) from those that are ranked $x+1$, up to and including y . This Initial Selection will follow the order of ranking (i.e. the Employer cannot Initially Select $x+2$, without Initially Selecting $x+1$).

28. Notification of Initial Selection

28.1 The Employer shall notify all Initially Selected Applicants in writing that they have been Initially Selected. In providing this notification the Employer shall include the names of all Applicants that have been Initially Selected.

28.2 The Employer shall separately notify all other Applicants that they have not been Initially Selected, and that they have been eliminated from the process. Any Applicant that has been eliminated from the process at this stage may request, in writing, the grounds on which they were eliminated.

29. Request for Proposals

29.1 Promptly after the notification of the results of the Initial Selection, the Employer shall invite Proposals from all the Applicants that have been initially selected.

29.2 Proposers may be required to provide a Proposal Security or a Proposal-Securing Declaration acceptable to the Employer in the form and an amount to be specified in the RFP document, and the successful Proposer shall be required to provide a Performance Security as specified in the RFP document.

29.3 The successful Proposer shall be required to provide a Performance Security as specified in the RFP document.

29.4 If applicable, the successful Proposer may also be required to provide a separate Environmental and Social (ES) Performance Security.

29.5 Proposers shall be required to provide a Code of Conduct which will apply to their and subcontractors’ personnel that includes the minimum requirements specified in the RFP document.

29.6 If required in the RFP document, the successful Proposer shall provide additional information about its beneficial ownership

using the Beneficial Ownership Disclosure Form included in the RFP document.

30. Changes in Qualifications of Applicants

30.1 Any change in the structure or formation of an Applicant after being initially selected in accordance with ITA 27 and invited to submit Proposal (including, in the case of a JV, any change in the structure or formation of any member and also including any change in any specialized subcontractor) shall be subject to the written approval of the Employer prior to the deadline for submission of Proposals. Such approval shall be denied if (i) an initially selected applicant proposes to associate with a disqualified applicant or in case of a disqualified joint venture, any of its members; (ii) as a consequence of the change, the Applicant no longer substantially meets the qualification criteria set forth in Section III - Initial Selection Criteria and Requirements Table 1- Qualification Criteria, and Requirements; or (iii) no longer continues to be in the list of Initially Selected Applicants as a result of the Employer's re-evaluation of the Application in accordance with ITA 27; or (iv) in the opinion of the Employer, the change may result in a substantial reduction in competition. Any such change should be submitted to the Employer not later than fourteen (14) days after the date of the Request for Proposals.

31. Procurement Related Complaint

31.1 The procedures for making a Procurement-related Complaint are as specified in the ISDS.

Section II - Initial Selection Data Sheet (ISDS)

A. General	
ITA 1.1	<p>The identification of the Invitation for Initial Selection is: 03/ICB/DSIP: Date: 22 / 02 / 2021</p> <p>The Employer is: Dhaka Water Supply and Sewerage Authority (DWASA) Represented by: Project Director, Dhaka Sanitation Improvement Project (DSIP).</p> <p>Address: 11th floor, WASA Bhaban, 98, Kazi Nazrul Islam Avenue, Kawranbazar, Dhaka-1215, Bangladesh.</p> <p>E-mail: pd.dsip2018@gmail.com</p> <p>The List of Contract: Not Applicable</p> <p>RFP Name and Number: Design and Build Contract for Reconstruction of Eastern Trunk Main (Package No.: WD2)</p>
ITA 2.1	<p>The Borrower is: Government of the Peoples' Republic of Bangladesh. DWASA is the Employer and it is an autonomous organization under the Borrower.</p> <p>Source of Fund: WB-AIIB-GoB</p> <p>Loan or Financing Agreement amount: IDA Financing- USD 170.00 million + Co-financing by AIIB-USD 170.00 million.</p> <p>The name of the Project is: Dhaka Sanitation Improvement Project (DSIP)</p>
ITA 4.2	Maximum number of members in the JV shall be: 4 (Four)
ITA 4.8	A list of debarred firms and individuals is available on the Bank's external website: http://www.worldbank.org/debarr .
B. Contents of the Initial Selection Document	
ITA 7.1	<p>For clarification purposes, the Employer's address is Attention: Project Director, Dhaka Sanitation Improvement Project (DSIP), DWASA Address: 98, Kazi Nazrul Islam Avenue, Kawranbazar City: Dhaka ZIP Code: 1215 Country: Bangladesh Telephone: +88-02-8180134 Facsimile number: Not Applicable Electronic mail address: pd.dsip2018@gmail.com</p>

ITA 7.1 & 8.2	Web page: www.dwasa.org.bd
ITA 7.2	<p>Pre-Application Meeting will be held: Yes</p> <p>Venue and time of Pre-Application meeting:</p> <p>Venue: Conference Room, 3rd Floor, WASA Bhaban, 98 Kazi Nazrul Islam Avenue, Kawranbazar, Dhaka-1215.</p> <p>Date: 16 March, 2021, Time: 1600 Hours, Bangladesh Local Time (GMT+6).</p> <p>The pre-application meeting will also be connected virtually through live video conference, for which the electronic connection link will be sent by the Employer at least two (2) calendar days before the meeting through email to those prospective Applicants who purchased the Initial Selection Document and willing to attend through video conference.</p> <p>For this purpose, the prospective Applicants who intend participating in the virtual Pre-Application meeting are advised to communicate their e-mail ID, and other contact details to the Employer at the address/e-mail ID given in the Data Sheet Clause under ITA 7.1 above, at least five (5) calendar days before the specified date of the meeting so that the connection details for participating in the said virtual meeting may be advised to them.</p> <p>Apart from transmitting the Minutes of the pre-Application meeting to all prospective Applicants in the manner detailed in ITA 7.3, the said Minutes and the Addendum to the Initial Selection Document, if any, will be published/ uploaded also on DWASA’s website (www.dwasa.org.bd)</p>
C. Preparation of Applications	
ITA 10.1	<p>This Initial Selection document has been issued in the English language. All correspondence exchange shall be in English language.</p> <p>The Application as well as all correspondence shall be submitted in English Language for translation of supporting documents and printed literature is English.</p>
ITA 11.1 (d)	No other documents are required to be submitted.
ITA 14.2	<p>The source for determining exchange rates is: Bangladesh Bank, Bangladesh.</p> <p>However, if the rate(s) of exchange for any specific date(s) is/are not available from the specified source, the Applicant(s) are requested to apply the rate(s) from any similar internationally recognized published source and submit a copy of that reference document with its Application.</p>
ITA 15.2	Applications may, at the applicant’s choice, be submitted through email or in hard copy. However, submission of both hard and soft copies is preferable. If submitted in hardcopy, in addition to the original, the number of copies to be submitted with the Application is Three (3) plus an electronic copy on a CD/DVD/USB Drive.

D. Submission of Applications	
ITA 17.1	<p>The deadline for Application submission is:</p> <p>Date: 12 April, 2021</p> <p>Time: 1400 Hours, Bangladesh Local Time (GMT+6).</p> <p>For Application submission purposes only, the Employer's address is:</p> <p>Attention: Project Director, Dhaka Sanitation Improvement Project (DSIP), DWASA</p> <p>Address: 11th Floor, WASA Bhaban, 98, Kazi Nazrul Islam Avenue, Kawranbazar</p> <p>City: Dhaka</p> <p>ZIP Code: 1215</p> <p>Country: Bangladesh</p> <p>Telephone: +88-02-8180134</p> <p>Email address: pd.dsip2018@gmail.com</p> <p>Applicants shall have the option of submitting their Applications electronically through email to the email address as indicated in ITA 7.1. If submitted through email, the Employer shall not be responsible for the confidentiality of the submitted Applications. In this case, the Employer shall not be responsible if the Application/s are not accessible by the Employer under any circumstances.</p>
ITA 18.1	The Employer reserves the right to accept or reject late Applications.
ITA 19.1	<p>The opening of the Applications shall be at 1500 hours, Bangladesh Local Time (GMT+6) on the date of receiving the Applications and at the address as mentioned above under ITA 17.1.</p> <p>The Application opening meeting will also be connected virtually through live video conference, for which the electronic connection link will be sent by the Employer at least two (2) calendar days before the Opening Meeting through email to those prospective Applicants who purchased the Initial Selection Document and willing to attend through video conference.</p>
ITA 19.2	As mentioned in ITA 19.1.
E. Procedures for Evaluation of Applications	
ITA 24.1	At this time the Employer does not intend to execute certain specific parts of the contract by sub-contractors selected in advance.

F. Evaluation of Applications and Initial Selection of Applicants	
ITA 25.2	The relevant qualifications of the proposed Specialized Subcontractors will not be added to the qualifications of the Applicant for the purpose of evaluation as indicated in Section III - Initial Selection Criteria and Requirements.
ITA 27.1	<p>Initial Selection – minimum number (x)</p> <p>The Employer intends to Initially Select the following number of long listed Applications: 5 (<i>Five</i>). This number is referred to as <i>x</i>, and is the minimum number to be Initially Selected.</p> <p>Initial Selection – maximum number (y)</p> <p>The Employer, may, at its sole discretion, Initially Select more than the minimum number of long listed Applications. The maximum number that may be Initially Selected is 8 (<i>Eight</i>). This number is referred to as <i>y</i>.</p>
ITA 31.1	<p>The procedures for making a Procurement-related Complaint are detailed in the “Procurement Regulations for IPF Borrowers (Annex III).” If an Applicant wishes to make a Procurement-related Complaint, the Applicant shall submit its complaint following these procedures, in writing (by the quickest means available, that is either by hand or email), to:</p> <p>For the attention: Md. Rafiqul Islam</p> <p>Title/position: Project Director, Dhaka Sanitation Improvement Project (DSIP)</p> <p>Employer: Dhaka Water Supply & Sewerage Authority (DWASA)</p> <p>Email address: pd.dsip2018@gmail.com</p> <p>In summary, at this stage, a Procurement-related Complaint may challenge any of the following:</p> <ol style="list-style-type: none"> 1. the terms of the Initial Selection Document; and 2. the Employer’s decision not to initially select an Applicant.

Section III - Initial Selection Criteria and Requirements

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Table 1 – Qualification Criteria and Requirements**1. Eligibility**

Criteria			Single Entity Requirements	Joint Venture Requirements			Documentation
No.	Subject	Requirement		All Members Combined	Each Member	One Member	Submission Requirements
1.1	Nationality	Nationality in accordance with ITA 4.5	Must meet requirement	Must meet requirement	Must meet requirement	N/A	Forms ELI – 1.1 and 1.2, with attachments
1.2	Conflict of Interest	No conflicts of interest in accordance with ITA 4.6	Must meet requirement	Must meet requirement	Must meet requirement	N/A	Application Submission Letter
1.3	Bank Eligibility	Not having been declared ineligible by the Bank, as described in ITA 4.7 and 5.1	Must meet requirement	Must meet requirement	Must meet requirement	N/A	Application Submission Letter
1.4	United Nations resolution or Borrower's country law	Not having been excluded as a result of prohibition in the Borrower's country laws or official regulations against commercial relations with the Applicant's country, or by an act of compliance with UN Security Council resolution, both in accordance with ITA 5.1 and Section V.	Must meet requirement	Must meet requirement	Must meet requirement	N/A	Forms ELI – 1.1 and 1.2, with attachments

2. Historical Contract Non-Performance

Criteria			Single Entity Requirements	Joint Venture Requirements			Documentation
No.	Subject	Requirement		All Members Combined	Each Member	One Member	Submission Requirements
2.1	History of Non-Performing Contracts	Non-performance of a contract ¹ did not occur as a result of contractor's default since 1st January 2016.	Must meet requirement ¹	Must meet requirements	Must meet requirement ²	N/A	Form CON-2
2.2	Suspension Based on Execution of Bid or Proposal Securing Declaration by the Employer	Not under suspension based on execution of Bid or Proposal Securing Declaration pursuant to ITA 4.10.	Must meet requirement	Must meet requirement	Must meet requirement	N/A	Application Submission Letter
2.3	Pending Litigation	Applicant's financial position and prospective long term profitability still sound according to criteria established in 3.1 below and assuming that all pending litigation will be resolved against the Applicant	Must meet requirement	N/A	Must meet requirement	N/A	Form CON – 2
2.4	Litigation History	No consistent history of court/arbitral award decisions against the Applicant ³ since 1st January 2016.	Must meet requirement	Must meet requirement	Must meet requirement	N/A	Form CON – 2

¹ Nonperformance, as decided by the Employer, shall include all contracts where (a) nonperformance was not challenged by the contractor, including through referral to the dispute resolution mechanism under the respective contract, and (b) contracts that were so challenged but fully settled against the contractor. Nonperformance shall not include contracts where Employers decision was overruled by the dispute resolution mechanism. Nonperformance must be based on all information on fully settled disputes or litigation, i.e. dispute or litigation that has been resolved in accordance with the dispute resolution mechanism under the respective contract and where all appeal instances available to the applicant have been exhausted.

² This requirement also applies to contracts executed by the Applicant as JV member.

³ The Applicant shall provide accurate information on the related Application Form about any litigation or arbitration resulting from contracts completed or ongoing under its execution over the last five years. A consistent history of awards against the Applicant or any member of a joint venture may result in rejection of the Application.

Criteria			Single Entity Requirements	Joint Venture Requirements			Documentation
No.	Subject	Requirement		All Members Combined	Each Member	One Member	Submission Requirements
2.5	Declaration: Environmental and Social (ES) past performance	Declare any civil work contracts that have been suspended or terminated and/or performance security called by an employer for reasons related to the non-compliance of any environmental, or social (including Sexual Exploitation and Abuse) contractual obligations in the past five years. ⁴	Must make the declaration. Where there is Specialized Sub-contractor/s such Specialized Sub-contractor/s must make the declaration.	N/A	Each must make the declaration. Where there is Specialized Sub-contractor/s such Specialized Sub-contractor/s must make the declaration.	N/A	Form CON-3 ES Performance Declaration

⁴ The Employer may use this information to seek further information or clarifications during the request for proposal stage and the associated due diligence.

3. Financial Situation and Performance

Criteria			Single Entity Requirements	Joint Venture Requirements			Documentation
No.	Subject	Requirement		All Members Combined	Each Member	One Member	Submission Requirements
3.1	Financial Capabilities	(i) The Applicant shall demonstrate that it has access to, or has available, liquid assets, unencumbered real assets, lines of credit, and other financial means (independent of any contractual advance payment) sufficient to meet the cash flow requirements estimated as 08 (Eight) million USD for the subject contract(s) net of the Applicant's other commitments.	Must meet requirement	Must meet requirement	N/A	Lead member must meet 50% of requirement	Form FIN – 3.1, with attachments
		(ii) The Applicant shall also demonstrate, to the satisfaction of the Employer, that it has adequate sources of finance to meet the cash flow requirements on contracts currently in progress and for future contract commitments.	Must meet requirement	Must meet requirement	N/A	N/A	
		(iii) The audited balance sheets or, if not required by the laws of the Applicant's country, other financial statements acceptable to the Employer, for the last five years shall be submitted and must demonstrate the current soundness of the Applicant's financial position and indicate its	Must meet requirement	N/A	Must meet requirement	N/A	

Criteria			Single Entity Requirements	Joint Venture Requirements			Documentation
No.	Subject	Requirement		All Members Combined	Each Member	One Member	Submission Requirements
		prospective long-term profitability.					
3.2	Average Annual Turnover	Minimum average annual construction turnover of 45 (Forty-five) million USD calculated as total certified payments received for contracts in progress and/or completed within the last five (5) years starting 1 st January 2016, divided by five (5).	Must meet requirement	Must meet requirement	Must meet 25%, (twenty five percent) of the requirement	Must meet 40% (forty percent) of the requirement	Form FIN – 3.2

4. Experience

Criteria			Single Entity Requirements	Joint Venture Requirements			Documentation
No.	Subject	Requirement		All Members Combined	Each Member	One Member	Submission Requirements
4.1	General Experience	Experience under construction contracts in the role of prime contractor, JV member, subcontractor, or management contractor for the last ten years starting 1st January 2011 .	Must meet requirement	N/A	Must meet requirement	N/A	Form EXP – 4.1
4.2 (a)	Specific Construction Experience	Minimum number of construction contracts as specified below that have been satisfactorily and	Must meet requirement	Must meet requirement	N/A	N/A	Form EXP 4.2 (a)

Criteria			Single Entity Requirements	Joint Venture Requirements			Documentation
No.	Subject	Requirement		All Members Combined	Each Member	One Member	Submission Requirements
		<p>substantially⁵ completed as a prime contractor, joint venture member ⁶, management contractor or subcontractor between 1st January 2011 and Application submission deadline:</p> <p>(i) A minimum number of 2 (two) contracts, each for construction of sewerage network facilities which may include any one or more of the following facilities: sewer lines, and/or sewage lifting/pumping stations, and/or micro-tunneling pipelines, and/or manholes, and/or house-connections, and the total value of each such contract should not be less than US\$ 40 (Forty) million equivalent; and</p> <p>(ii) A minimum number of 2 (two) contracts, each for construction of sewer line of at least 6 (Six) km length each, having minimum diameter of 600 mm, using GRP; and</p> <p>(iii) A minimum number of 2 (two)</p>		7			

⁵ Substantial completion shall be based on 80% or more of the contract completed.

⁶ For contracts under which the Applicant participated as a joint venture member or sub-contractor, only the Applicant's role and responsibilities shall be considered to meet this requirement.

⁷ In the case of JV, the value of contracts completed by its members shall not be aggregated to determine whether the requirement of the minimum value of a single contract has been met. Instead, each contract performed by a member contributing to meeting the requirement shall satisfy the minimum value of a single contract as required for single entity. In determining whether the JV meets the requirement of total number of contracts, only the number of contracts completed by members, each of value equal or more than the minimum value required, shall be aggregated.

Criteria			Single Entity Requirements	Joint Venture Requirements			Documentation
No.	Subject	Requirement		All Members Combined	Each Member	One Member	Submission Requirements
		<p>contracts, each for construction of at least one sewage pumping/lifting station with capacity of minimum 45,000 m³/day, and</p> <p>(iv) A minimum number of 2 (two) contracts, each for construction of micro-tunneling pipeline of at least 1000m in length with minimum 600mm diameter in a sewer network.</p> <p>NB1: The Applicant may use a single contract towards satisfying one or more criteria requirements as mentioned above. In such cases, the Applicant shall complete separate EXP 4.2 (a) forms for each criterion but may use the same contract reference to demonstrate how it satisfies the respective requirements of each criterion. (For example, if the Applicant has experience under the same contract in construction of sewerage network facilities with minimum value of US\$ 40 (forty) million and also in construction of sewer line with at least 6 (Six) km length having minimum diameter of 600 mm using GRP, it may use that contract towards qualifying under both (i) and (ii) above.)</p>					

Criteria			Single Entity Requirements	Joint Venture Requirements			Documentation
No.	Subject	Requirement		All Members Combined	Each Member	One Member	Submission Requirements
		NB2: The specific experience requirements as above must be met by the Applicant itself whether a Single Entity or the JV Applicant.					
4.2 (b)	Specific Experience in managing ES aspects	For any one of the contracts in 4.2 (a) above, or any other one contract [substantially completed and under implementation] as prime contractor, joint venture member, or Subcontractor between 1st January, 2011 and Application submission deadline, experience in managing ES risks and impacts in the following key requirement: Implementation of environmental and social management plan during construction phase. <i>NB: The above specific experience requirements may be met by Specialized Subcontractors.</i>	Must meet requirement	Must meet requirement	N/A	N/A	Form EXP 4.2 (b)
4.3	Specific Design Experience	Minimum number of design contract as specified below that has been satisfactorily and substantially ⁸	Must meet requirement	Must meet requirement ¹⁰	N/A	N/A	Form EXP 4.3

⁸ Substantial completion shall be based on 80% or more of the contract completed.

¹⁰ In the case of JV, the value of contracts completed by its members shall not be aggregated to determine whether the requirement of the minimum value of a single contract has been met. Instead, each contract performed by a member contributing to meeting the requirement shall satisfy the minimum value of a single contract as required for single entity. In determining whether the JV meets the requirement of total number of contracts, only the number of contracts completed by members, each of value equal or more than the minimum value required, shall be aggregated.

Criteria			Single Entity Requirements	Joint Venture Requirements			Documentation
No.	Subject	Requirement		All Members Combined	Each Member	One Member	Submission Requirements
		<p>completed as a prime contractor, joint venture member⁹, management contractor or subcontractor between 1st January 2011 and Application submission deadline:</p> <p>(i) A minimum number of 1 (one) contract for design of sewer networks with a minimum total length of primary sewers / trunk mains of 6 km having minimum 800mm diameter; and</p> <p>(ii) A minimum number of 1 (one) contract for design of sewer line of at least 3 (Three) km length and having minimum diameter of 600mm, using GRP; and</p> <p>(iii) A minimum number of 1 (one) contract for design of at least one Sewage pumping/lifting station with capacity of minimum 45,000 m³/day; and</p> <p>(iv) A minimum number of 1(One) contract for design of micro-tunneling pipeline of at least 1000m in length with minimum 600mm diameter in a sewer network.</p>					

⁹ For contracts under which the Applicant participated as a joint venture member or sub-contractor, only the Applicant's role and responsibilities shall be considered to meet this requirement.

Criteria			Single Entity Requirements	Joint Venture Requirements			Documentation
No.	Subject	Requirement		All Members Combined	Each Member	One Member	Submission Requirements
		<p>NB1: The Applicant may use a single contract towards satisfying one or more criteria requirements as mentioned above. In such cases, the Applicant shall complete separate EXP 4.3 forms for each criterion but may use the same contract reference to demonstrate how it satisfies the respective requirements of each criterion. (For example, if an Applicant has the experience under the same contract in design of sewer networks with minimum total length of primary sewers / trunk mains of 6 km having minimum 800mm diameter and also in design of sewer line with at least 3 (Three) km length of minimum diameter of 600 mm, using GRP, it may use that contract towards qualifying under (i) and (ii) above).</p> <p>NB2: The specific design experience requirements as above must be met by the Applicant itself whether a Single Entity or the JV Applicant.</p>					

General notes for the Applicant:

1. The Applicant may use a single contract to satisfy one or more criteria requirements. In such cases, the Applicant shall complete separate EXP forms for each subject contract type. (For example, if a contractor undertook both design and construction in the same contract, it may use that experience to qualify under Construction Experience [4.2(a)] and Design Experience [4.3]. In such case it would complete forms EXP-4.2 (a) and EXP-4.3.)
2. Substantial completion shall be based on 80% or more of the contract completed.

Table 2 – Rated Criteria and Requirements**1. Past Experience**

Requirement		Scoring		Documentation																																		
		Maximum score	Remark	Submission Requirement																																		
<p>Number of similar contracts</p> <p>[Number of satisfactorily and substantially completed Works and Design contracts that exceed the number specified in Table 1, Sub-Factor 4.2 (a) (i), (ii), (iii) and (iv) (where this number is z=2) and 4.3 (i), (ii), (iii) and (iv) (where this number is z=1) that are:</p> <ol style="list-style-type: none"> similar to the Requirements (<u>Reference Table 1, 4.2(a) (i), (ii), (iii) and (iv) & 4.3 (i), (ii), (iii) and (iv)</u>); and completed during the time between 1st January 2011 and Application submission deadline. <p>Scoring methodology:</p> <table border="1"> <thead> <tr> <th rowspan="2">Contract Type</th> <th colspan="5">Score applicable for Number of satisfactory contracts</th> <th rowspan="2">[z contracts] (Number)</th> </tr> <tr> <th>>= z+4 contracts</th> <th>z+3 contracts</th> <th>z+2 contracts</th> <th>z+1 contract</th> <th>z contracts</th> </tr> <tr> <th>(1)</th> <th>(2)</th> <th>(3)</th> <th>(4)</th> <th>(5)</th> <th>(6)</th> <th>(7)</th> </tr> </thead> <tbody> <tr> <td rowspan="2">A. Construction contracts</td> <td>(i) Contracts for construction of sewerage network facilities and value of each such contract not less than US\$ 40 (Forty) million equivalent (sub-factor 4.2 (a) (i) of Table 1)</td> <td>60</td> <td>45</td> <td>30</td> <td>15</td> <td>0</td> <td>2</td> </tr> <tr> <td>(ii) Contracts for construction of sewer line (sub-factor 4.2 (a) (ii) of Table 1)</td> <td>40</td> <td>30</td> <td>20</td> <td>10</td> <td>0</td> <td>2</td> </tr> </tbody> </table>		Contract Type	Score applicable for Number of satisfactory contracts					[z contracts] (Number)	>= z+4 contracts	z+3 contracts	z+2 contracts	z+1 contract	z contracts	(1)	(2)	(3)	(4)	(5)	(6)	(7)	A. Construction contracts	(i) Contracts for construction of sewerage network facilities and value of each such contract not less than US\$ 40 (Forty) million equivalent (sub-factor 4.2 (a) (i) of Table 1)	60	45	30	15	0	2	(ii) Contracts for construction of sewer line (sub-factor 4.2 (a) (ii) of Table 1)	40	30	20	10	0	2	270	In case of JV, all members combined will be evaluated.	Form EXP 4.2 (a) & 4.3
Contract Type	Score applicable for Number of satisfactory contracts					[z contracts] (Number)																																
	>= z+4 contracts	z+3 contracts	z+2 contracts	z+1 contract	z contracts																																	
(1)	(2)	(3)	(4)	(5)	(6)	(7)																																
A. Construction contracts	(i) Contracts for construction of sewerage network facilities and value of each such contract not less than US\$ 40 (Forty) million equivalent (sub-factor 4.2 (a) (i) of Table 1)	60	45	30	15	0	2																															
	(ii) Contracts for construction of sewer line (sub-factor 4.2 (a) (ii) of Table 1)	40	30	20	10	0	2																															

Requirement								Scoring		Documentation
								Maximum score	Remark	Submission Requirement
	(iii) Contracts for construction of sewage pumping/lifting stations (sub-factor 4.2 (a) (iii) of Table 1)	40	30	20	10	0	2			
	(iv) Contracts for construction of Micro-tunneling pipeline in sewer network (sub-factor 4.2 (a) (iv) of Table 1)	40	30	20	10	0	2			
Total		180					-			
B. Design contracts	(i) Contracts for design of Sewer Networks (sub-factor 4.3 (i) of Table 1)	30	22.5	15	7.5	0	1			
	(ii) Contracts for design of Sewer line (sub-factor 4.3 (ii) of Table 1)	20	15	10	5	0	1			
	(iii) Contracts for design of Sewage pumping/lifting station (sub-factor 4.3 (iii) of Table 1)	20	15	10	5	0	1			
	(iv) Contracts for design of Micro-tunneling pipeline in sewer network (sub-factor 4.3 (iv) of Table 1)	20	15	10	5	0	1			
	Total		90							
A+B		270								

Section IV - Application Forms

Table of Forms

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Application Submission Letter

Date: *[insert day, month, and year]*

RFP No. and title: *[insert RFP number and title]*

To: *[insert full name of Employer]*

We, the undersigned, apply to be initially selected for the referenced Request for Proposal (RFP) and declare that:

- (a) **No reservations:** We have examined and have no reservations to the Initial Selection Document, including Addendum(s) No(s). issued in accordance with ITA 8: *[insert the number and issuing date of each addendum]*.
- (b) **No conflict of interest:** We have no conflict of interest in accordance with ITA 4.6;
- (c) **Eligibility:** We (and our subcontractors) meet the eligibility requirements as stated in ITA 4, we have not been suspended by the Employer based on execution of a Bid-Securing Declaration or Proposal-Securing Declaration in accordance with ITA 4.10;
- (d) **Suspension and Debarment:** We, along with any of our subcontractors, suppliers, consultants, manufacturers, or service providers for any part of the contract, are not subject to, and not controlled by any entity or individual that is subject to, a temporary suspension or a debarment imposed by the World Bank Group or a debarment imposed by the World Bank Group in accordance with the Agreement for Mutual Enforcement of Debarment Decisions between the World Bank and other development banks. Further, we are not ineligible under the Employer's country laws or official regulations or pursuant to a decision of the United Nations Security Council;
- (e) **State-owned enterprise or institution:** *[select the appropriate option and delete the other] [We are not a state-owned enterprise or institution] / [We are a state-owned enterprise or institution but meet the requirements of ITA 4.9];*
- (f) **Subcontractors and Specialized Subcontractors:** We, in accordance with ITA 24.2 and 25.2, plan to subcontract the following parts of the contract:
[Insert any part of the contract which the Applicant intends to subcontract along with complete details of the Specialized Subcontractors, their qualification and experience]
- (g) **Commissions, gratuities, fees:** We declare that the following commissions, gratuities, or fees have been paid or are to be paid with respect to the Initial Selection process, the corresponding RFP process or execution of the Contract:

<u>Name of Recipient</u>	<u>Address</u>	<u>Reason</u>	<u>Amount</u>
<i>[insert full name for each occurrence]</i>	<i>[insert street/ number/city/country]</i>	<i>[indicate reason]</i>	<i>[specify amount currency, value, exchange rate and US\$ equivalent]</i>
_____	_____	_____	_____
_____	_____	_____	_____
_____	_____	_____	_____
_____	_____	_____	_____

[If no payments are made or promised, add the following statement: “No commissions or gratuities have been or are to be paid by us to agents or any third party relating to this Application]

- (h) **Not bound to accept:** We understand that you may cancel the Initial Selection process at any time and that you are neither bound to accept any Application that you may receive nor to invite the initially selected Applicants to submit Proposal for the contract subject of this Initial selection process, without incurring any liability to the Applicants, in accordance with ITA 26.1.
- (i) **True and correct:** All information, statements and description contained in the Application are in all respect true, correct and complete to the best of our knowledge and belief.

 Signed *[insert signature(s) of an authorized representative(s) of the Applicant]*

 Name *[insert full name of person signing the Application]*

 In the capacity of *[insert capacity of person signing the Application]*

Duly authorized to sign the Application for and on behalf of:

Applicant's Name *[insert full name of Applicant or the name of the JV]*

Address *[insert street number/town or city/country address]*

Dated on *[insert day number]* day of *[insert month]*, *[insert year]*

[For a joint venture, either all members shall sign or only the authorized representative, in which case the power of attorney to sign on behalf of all members shall be attached]

Form ELI -1.1

Applicant Information Form

Date: *[insert day, month, year]*

RFP No. and title: *[insert RFP number and title]*

Page *[insert page number]* of *[insert total number]* pages

Applicant's name <i>[insert full name]</i>
In case of Joint Venture (JV), name of each member: <i>[insert full name of each member in JV]</i>
Applicant's actual or intended country of registration: <i>[indicate country of Constitution]</i>
Applicant's actual or intended year of incorporation: <i>[indicate year of Constitution]</i>
Applicant's legal address [in country of registration]: <i>[insert street/ number/ town or city/ country]</i>
Applicant's authorized representative information Name: <i>[insert full name]</i> Address: <i>[insert street/ number/ town or city/ country]</i> Telephone/Fax numbers: <i>[insert telephone/fax numbers, including country and city codes]</i> E-mail address: <i>[indicate e-mail address]</i>
1. Attached are copies of original documents of <ul style="list-style-type: none"> <input type="checkbox"/> Articles of Incorporation (or equivalent documents of constitution or association), and/or documents of registration of the legal entity named above, in accordance with ITA 4.5. <input type="checkbox"/> In case of JV, letter of intent to form JV or JV agreement, in accordance with ITA 4.2. <input type="checkbox"/> In case of state-owned enterprise or institution, in accordance with ITA 4.9 documents establishing: <ul style="list-style-type: none"> • Legal and financial autonomy • Operation under commercial law • Establishing that the Applicant is not under supervision of the Employer
2. Included are the organizational chart, a list of Board of Directors, and the beneficial ownership.

Form ELI -1.2

Applicant's JV Information Form

[The following form is additional to Form ELI – 1.1., and shall be completed to provide information relating to each JV member (in case the Applicant is a JV) as well as any Specialized Subcontractor proposed to be used by the Applicant for any part of the Contract resulting from this Initial Selection]

Date: *[insert day, month, year]*

RFP No. and title: *[insert RFP number and title]*

Page *[insert page number]* of *[insert total number]* pages

Applicant name: <i>[insert full name]</i>
Applicant's JV Member's name: <i>[insert full name of Applicant's JV Member]</i>
Applicant's JV Member's country of registration: <i>[indicate country of registration]</i>
Applicant JV Member's year of constitution: <i>[indicate year of constitution]</i>
Applicant JV Member's legal address in country of constitution: <i>[insert street/ number/ town or city/ country]</i>
Applicant JV Member's authorized representative information Name: <i>[insert full name]</i> Address: <i>[insert street/ number/ town or city/ country]</i> Telephone/Fax numbers: <i>[insert telephone/fax numbers, including country and city codes]</i> E-mail address: <i>[indicate e-mail address]</i>
1. Attached are copies of original documents of <input type="checkbox"/> Articles of Incorporation (or equivalent documents of constitution or association), and/or registration documents of the legal entity named above, in accordance with ITA 4.5. <input type="checkbox"/> In case of a state-owned enterprise or institution, documents establishing legal and financial autonomy, operation in accordance with commercial law, and they are not under the supervision of the Employer, in accordance with ITA 4.9.
2. Included are the organizational chart, a list of Board of Directors, and the beneficial ownership.

Form CON – 2

Historical Contract Non-Performance, and Pending Litigation and Litigation History

[The following table shall be filled in for the Applicant and for each member of a Joint Venture]

Applicant's Name: *[insert full name]*

Date: *[insert day, month, year]*

Joint Venture Member's Name: *[insert full name]*

RFP No. and title: *[insert RFP number and title]*

Page *[insert page number]* of *[insert total number]* pages

Non-Performed Contracts in accordance with Section III, Table 1 Qualification Criteria, and Requirements			
<input type="checkbox"/> Contract non-performance did not occur since 1 st January <i>[insert year]</i> specified in Section III, – Table 1 Qualification Criteria, and Requirements, Sub-Factor 2.1.			
<input type="checkbox"/> Contract(s) not performed since 1 st January <i>[insert year]</i> specified in Section III, – Table 1 Qualification Criteria, and Requirements, Sub-Factor 2.1.			
Year	Non-performed portion of contract	Contract Identification	Total Contract Amount (current value, currency, exchange rate and US\$ equivalent)
<i>[insert year]</i>	<i>[insert amount and percentage]</i>	Contract Identification: <i>[indicate complete contract name/ number, and any other identification]</i> Name of Employer: <i>[insert full name]</i> Address of Employer: <i>[insert street/city/country]</i> Reason(s) for nonperformance: <i>[indicate main reason(s)]</i>	<i>[insert amount]</i>
Pending Litigation, in accordance with Section III, Table 1 Qualification Criteria, and Requirements			
<input type="checkbox"/> No pending litigation in accordance with Section III, Table 1 Qualification Criteria, and Requirements, Sub-Factor 2.3.			
<input type="checkbox"/> Pending litigation in accordance with Section III, Table 1 Qualification Criteria, and Requirements, Sub-Factor 2.3 as indicated below.			

Year of dispute	Amount in dispute (currency)	Contract Identification	Total Contract Amount (currency), USD Equivalent (exchange rate)
<i>[insert year]</i>	<i>[insert amount]</i>	Contract Identification: <i>[indicate complete contract name, number, and any other identification]</i> Name of Employer: <i>[insert full name]</i> Address of Employer: <i>[insert street/city/country]</i> Matter in dispute: <i>[indicate main issues in dispute]</i> Party who initiated the dispute: <i>[indicate "Employer" or "Contractor"]</i> Status of dispute:	<i>[insert amount]</i>
Litigation History in accordance with Section III, Table 1 Qualification Criteria, and Requirements			
<input type="checkbox"/> No Litigation History in accordance with Section III, Table 1 Qualification Criteria, and Requirements, Sub-Factor 2.4. <input type="checkbox"/> Litigation History in accordance with Section III, Table 1 Qualification Criteria, and Requirements, Sub-Factor 2.4 as indicated below.			
Year of award	Outcome as percentage of Net Worth	Contract Identification	Total Contract Amount (currency), USD Equivalent (exchange rate)
<i>[insert year]</i>	<i>[insert percentage]</i>	Contract Identification: <i>[indicate complete contract name, number, and any other identification]</i> Name of Employer: <i>[insert full name]</i> Address of Employer: <i>[insert street/city/country]</i> Matter in dispute: <i>[indicate main issues in dispute]</i> Party who initiated the dispute: <i>[indicate "Employer" or "Contractor"]</i> Reason(s) for Litigation and award decision <i>[indicate main reason(s)]</i>	<i>[insert amount]</i>

Form CON – 3

ES Performance Declaration

[The following table shall be filled in for the Applicant, each member of a Joint Venture and each Specialized Subcontractor]

Applicant's Name: *[insert full name]*

Date: *[insert day, month, year]*

Joint Venture Member's or Specialized Subcontractor's Name: *[insert full name]*

RFP No. and title: *[insert RFP number and title]*

Page *[insert page number]* of *[insert total number]* pages

Environmental and Social Performance Declaration in accordance with Section III, Qualification Criteria, and Requirements			
<input type="checkbox"/> No suspension or termination of contract: An employer has not suspended or terminated a contract and/or called the performance security for a contract for reasons related to Environmental and Social (ES) performance since the date specified in Section III, Qualification Criteria, and Requirements, Sub-Factor 2.5.			
<input type="checkbox"/> Declaration of suspension or termination of contract: The following contract(s) has/have been suspended or terminated and/or Performance Security called by an employer(s) for reasons related to Environmental and Social (ES) performance since the date specified in Section III, Qualification Criteria, and Requirements, Sub-Factor 2.5. Details are described below:			
Year	Terminated contract or Suspended portion of contract	Contract Identification	Total Contract Amount (current value, currency, exchange rate and US\$ equivalent)
<i>[insert year]</i>	<i>[insert amount and percentage]</i>	Contract Identification: <i>[indicate complete contract name/ number, and any other identification]</i> Name of Employer: <i>[insert full name]</i> Address of Employer: <i>[insert street/city/country]</i> Reason(s) for suspension or termination: <i>[indicate main reason(s) e.g. gender based violence; sexual exploitation or sexual abuse breaches]</i>	<i>[insert amount]</i>
<i>[insert year]</i>	<i>[insert amount and percentage]</i>	Contract Identification: <i>[indicate complete contract name/ number, and any other identification]</i> Name of Employer: <i>[insert full name]</i> Address of Employer: <i>[insert street/city/country]</i> Reason(s) for suspension or termination: <i>[indicate</i>	<i>[insert amount]</i>

		<i>main reason(s)</i>	
...	...	<i>[list all applicable contracts]</i>	...
Performance Security called by an employer(s) for reasons related to ES performance			
Year	Contract Identification		Total Contract Amount (current value, currency, exchange rate and US\$ equivalent)
<i>[insert year]</i>	<i>Contract Identification: [indicate complete contract name/ number, and any other identification]</i> <i>Name of Employer: [insert full name]</i> <i>Address of Employer: [insert street/city/country]</i> <i>Reason(s) for calling of performance security: [indicate main reason(s) e.g. gender-based violence; sexual exploitation or sexual abuse breaches]</i>		<i>[insert amount]</i>

Form FIN – 3.1

Financial Situation and Performance

[The following table shall be filled in for the Applicant and for each member of a Joint Venture]

Applicant's Name: *[insert full name]*

Date: *[insert day, month, year]*

Joint Venture Member Name: *[insert full name]*

RFP No. and title: *[insert RFP number and title]*

Page *[insert page number]* of *[insert total number]* pages

1. Financial data

Type of Financial information in (currency)	Historic information for previous _ <i>[insert number]</i> years, <i>[insert in words]</i> (amount in currency, currency, exchange rate*, USD equivalent)				
	Year 1	Year 2	Year 3	Year4	Year 5
Statement of Financial Position (Information from Balance Sheet)					
Total Assets (TA)					
Total Liabilities (TL)					
Total Equity/Net Worth (NW)					
Current Assets (CA)					
Current Liabilities (CL)					
Working Capital (WC)					
Information from Income Statement					
Total Revenue (TR)					
Profits Before Taxes (PBT)					
Cash Flow Information					
Cash Flow from Operating Activities					

* Refer ITA 14 for the exchange rate

2. Sources of Finance

[The following table shall be filled in for the Applicant and all parties combined in case of a Joint Venture]

Specify sources of finance to meet the cash flow requirements on contracts currently in progress and for future contract commitments.

No.	Source of finance	Amount (US\$ equivalent)
1		
2		
3		

3. Financial documents

The Applicant and its parties shall provide copies of financial statements for *[number]* years pursuant Section III, Table 1 –Qualification Criteria, and Requirements Sub-factor 3.1. The financial statements shall:

- (a) reflect the financial situation of the Applicant or in case of JV member, and not an affiliated entity (such as parent company or group member).
- (b) be independently audited or certified in accordance with local legislation.
- (c) be complete, including all notes to the financial statements.
- (d) correspond to accounting periods already completed and audited.

Attached are copies of financial statements¹ for the *[number]* years required above; and complying with the requirements

¹ If the most recent set of financial statements is for a period earlier than 12 months from the date of Application, the reason for this should be justified.

Form FIN - 3.2

Average Annual Construction Turnover

[The following table shall be filled in for the Applicant and for each member of a Joint Venture]

Applicant's Name: *[insert full name]*

Date: *[insert day, month, year]*

Joint Venture Member Name: *[insert full name]*

RFP No. and title: *[insert RFP number and title]*

Page *[insert page number]* of *[insert total number]* pages

Annual Construction Turnover Data			
Year	Amount Currency	Exchange rate*	USD equivalent
2020	<i>[insert amount and indicate currency]</i>		
2019			
2018			
2017			
2016			
		Average Annual Construction Turnover **	

* Refer ITA 14 for date and source of exchange rate.

** Total USD equivalent for all years divided by the total number of years. See Section III, Table 1 Qualification Criteria, and Requirements, 3.2.

Form EXP - 4.1 General Construction Experience

[The following table shall be filled in for the Applicant and in the case of a JV Applicant, each Member]

Applicant's Name: *[insert full name]*
 Date: *[insert day, month, year]*
 Joint Venture Member Name: *[insert full name]*
 RFP No. and title: *[insert RFP number and title]*
 Page *[insert page number]* of *[insert total number]* pages

[As per Section III, Table 1 Qualification Criteria, and Requirements, Sub-Factor 4.1. list contracts chronologically, according to their commencement (starting) dates.]

Starting Year	Ending Year	Contract Identification	Role of Applicant
<i>[indicate year]</i>	<i>[indicate year]</i>	Contract name: <i>[insert full name]</i> Brief Description of the Contracts performed by the Applicant: <i>[describe contracts performed briefly]</i> Amount of contract: <i>[insert amount in currency, mention currency used, exchange rate and US\$ equivalent*]</i> Name of Employer: <i>[indicate full name]</i> Address: <i>[indicate street/number/town or city/country]</i>	<i>[insert "Prime Contractor" or "JV Member" or "Sub-contractor" or "Management Contractor"]</i>
		Contract name: <i>[insert full name]</i> Brief Description of the Contracts performed by the Applicant: <i>[describe contracts performed briefly]</i> Amount of contract: <i>[insert amount in currency, mention currency used, exchange rate and US\$ equivalent*]</i> Name of Employer: <i>[indicate full name]</i> Address: <i>[indicate street/number/town or city/country]</i>	<i>[insert "Prime Contractor" or "JV Member" or "Sub-contractor" or "Management Contractor"]</i>
		Contract name: <i>[insert full name]</i> Brief Description of the Contracts performed by the Applicant: <i>[describe contracts performed briefly]</i> Amount of contract: <i>[insert amount in currency, mention currency used, exchange rate and US\$ equivalent*]</i> Name of Employer: <i>[indicate full name]</i> Address: <i>[indicate street/number/town or city/country]</i>	<i>[insert "Prime Contractor" or "JV Member" or "Sub-contractor" or "Management Contractor"]</i>

* Refer ITA 14 for date and source of exchange rate.

Form EXP - 4.2 (a) Specific Construction Experience

(Table 1, 4.2(a) (i) (ii) (iii) and (iv) and Table 2)

(Please use separate forms for each criterion under 4.2 (a)) [The following table shall be filled in for contracts performed by the Applicant, each member of a Joint Venture, and Specialized Sub-contractors]

Applicant's Name: [insert full name]

Date: [insert day, month, year]

Joint Venture Member Name: [insert full name]

RFP No. and title: [insert RFP number and title]

Page [insert page number] of [insert total number] pages

Similar Contract No. <i>[insert number] of [insert number of similar contracts required]</i>	Information			
Contract Identification	<i>[insert contract name and number, if applicable]</i>			
Award date	<i>[insert day, month, year, i.e., 15 June, 2015]</i>			
Completion date	<i>[insert day, month, year, i.e., 03 October, 2017]</i>			
Role in Contract <i>[check the appropriate box]</i>	Prime Contractor <input type="checkbox"/>	Member in JV <input type="checkbox"/>	Management Contractor <input type="checkbox"/>	Sub-contractor <input type="checkbox"/>
Total Contract Amount	<i>[insert total contract amount in local currency]</i>		US\$ <i>[insert Exchange rate and total contract amount in US\$ equivalent] *</i>	
If member in a JV or sub-contractor, specify share in value in total Contract amount and roles and responsibilities	<i>[insert a percentage amount]</i>	<i>[insert total contract amount in local currency]</i>	<i>[insert exchange rate and total contract amount in US\$ equivalent] *</i>	
	<i>[insert roles and responsibilities]</i>			
Description of the similarity in accordance with Section III Table 1, 4.2(a)				
Physical size of required contracts items	<i>[insert physical size of items]</i>			
Complexity	<i>[insert description of complexity]</i>			
Methods/Technology	<i>[insert specific aspects of the methods/technology]</i>			

Similar Contract No. <i>[insert number] of [insert number of similar contracts required]</i>	Information
	<i>involved in the contract]</i>
Other Characteristics	<i>[insert other characteristics as described in Section VII, Scope of Employer's Requirements]</i>
Employer's Name:	<i>[insert full name]</i>
Address:	<i>[indicate street / number / town or city / country]</i>
Telephone/fax number	<i>[insert telephone/fax numbers, including country and city area codes]</i>
E-mail:	
	<i>[insert e-mail address, if available]</i>

* Refer ITA 14 for date and source of exchange rate.

Form EXP - 4.2(b)

Specific Experience in Managing ES aspects

[The following table shall be filled in for contracts performed by the Proposer, and each member of a Joint Venture]

Proposer Name: _____
 Date: _____
 Proposer JV Member Name: _____
 RFP No. and title: _____
 Page _____ of _____ pages

Key Requirement in accordance with 4.2 (b): Implementation of Environmental and Social Management Plan during construction phase

Contract Identification				
Award date				
Completion date				
Role in Contract	Prime Contractor <input type="checkbox"/>	Member in JV <input type="checkbox"/>	Management Contractor <input type="checkbox"/>	Subcontractor <input type="checkbox"/>
Total Contract Amount			US\$	
Details of relevant experience				

...

Form EXP - 4.3 Specific Design Experience

(Table 1, 4.3 (i) (ii) (iii) and (iv) and Table 2)
(Please use separate forms for each criterion under 4.3)

[The following table shall be filled in for contracts performed by the Applicant, and each member of a Joint Venture]

Applicant's Name: *[insert full name]*

Date: *[insert day, month, year]*

Joint Venture Member Name: *[insert full name]*

RFP No. and title: *[insert RFP number and title]*

Page *[insert page number]* of *[insert total number]* pages

Similar Contract No. <i>[insert number] of [insert number of similar contracts required]</i>	Information			
Contract Identification	<i>[insert contract name and number, if applicable]</i>			
Award date	<i>[insert day, month, year, i.e., 15 June, 2015]</i>			
Completion date	<i>[insert day, month, year, i.e., 03 October, 2017]</i>			
Role in Contract <i>[check the appropriate box]</i>	Prime Contractor <input type="checkbox"/>	Member in JV <input type="checkbox"/>	Management Contractor <input type="checkbox"/>	Sub-contractor <input type="checkbox"/>
Total Contract Amount	<i>[insert total contract amount in local currency]</i>		US\$ <i>[insert Exchange rate and total contract amount in US\$ equivalent] *</i>	
If member in a JV or sub-contractor, specify share in value in total Contract amount and roles and responsibilities	<i>[insert a percentage amount]</i>	<i>[insert total contract amount in local currency]</i>	<i>[insert exchange rate and total contract amount in US\$ equivalent] *</i>	
	<i>[insert roles and responsibilities]</i>			
Description of the similarity in accordance with Section III Table 1, 4.3				
Physical size of required contracts items	<i>[insert physical size of items]</i>			
Complexity	<i>[insert description of complexity]</i>			

Similar Contract No. <i>[insert number] of [insert number of similar contracts required]</i>	Information
Methods/Technology	<i>[insert specific aspects of the methods/technology involved in the contract]</i>
Other Characteristics	<i>[insert other characteristics as described in Section VII, Scope of Employer's Requirements]</i>
Employer's Name:	<i>[insert full name]</i>
Address:	<i>[indicate street / number / town or city / country]</i>
Telephone/fax number E-mail:	<i>[insert telephone/fax numbers, including country and city area codes]</i> <i>[insert e-mail address, if available]</i>

* Refer ITA 14 for date and source of exchange rate.

Section V - Eligible Countries

Eligibility for the Provision of Goods, Works and Services in Bank-Financed Procurement

In reference to ITA 5.1, for the information of the Applicants, at the present time firms and individuals, supply of goods, or contracting of works or services, from the following countries are excluded from this Initial Selection process:

Under ITA 5.1 (a) Israel

Under ITA 5.1 (b) *None*

Section VI - Fraud and Corruption

(Text in this Section VI shall not be modified)

1. Purpose

1.1 The Bank's Anti-Corruption Guidelines and this annex apply with respect to procurement under Bank Investment Project Financing operations.

2. Requirements

2.1 The Bank requires that Borrowers (including beneficiaries of Bank financing); bidders (applicants/proposers), consultants, contractors and suppliers; any sub-contractors, sub-consultants, service providers or suppliers; any agents (whether declared or not); and any of their personnel, observe the highest standard of ethics during the procurement process, selection and contract execution of Bank-financed contracts, and refrain from Fraud and Corruption.

2.2 To this end, the Bank:

- a. Defines, for the purposes of this provision, the terms set forth below as follows:
 - i. "corrupt practice" is the offering, giving, receiving, or soliciting, directly or indirectly, of anything of value to influence improperly the actions of another party;
 - ii. "fraudulent practice" is any act or omission, including misrepresentation, that knowingly or recklessly misleads, or attempts to mislead, a party to obtain financial or other benefit or to avoid an obligation;
 - iii. "collusive practice" is an arrangement between two or more parties designed to achieve an improper purpose, including to influence improperly the actions of another party;
 - iv. "coercive practice" is impairing or harming, or threatening to impair or harm, directly or indirectly, any party or the property of the party to influence improperly the actions of a party;
 - v. "obstructive practice" is:
 - (a) deliberately destroying, falsifying, altering, or concealing of evidence material to the investigation or making false statements to investigators in order to materially impede a Bank investigation into allegations of a corrupt, fraudulent, coercive, or collusive practice; and/or threatening, harassing, or intimidating any party to prevent it from disclosing its knowledge of matters relevant to the investigation or from pursuing the investigation; or
 - (b) acts intended to materially impede the exercise of the Bank's inspection and audit rights provided for under paragraph 2.2 e. below.

- b. Rejects a proposal for award if the Bank determines that the firm or individual recommended for award, any of its personnel, or its agents, or its sub-consultants, sub-contractors, service providers, suppliers and/ or their employees, has, directly or indirectly, engaged in corrupt, fraudulent, collusive, coercive, or obstructive practices in competing for the contract in question;
- c. In addition to the legal remedies set out in the relevant Legal Agreement, may take other appropriate actions, including declaring misprocurement, if the Bank determines at any time that representatives of the Borrower or of a recipient of any part of the proceeds of the loan engaged in corrupt, fraudulent, collusive, coercive, or obstructive practices during the procurement process, selection and/or execution of the contract in question, without the Borrower having taken timely and appropriate action satisfactory to the Bank to address such practices when they occur, including by failing to inform the Bank in a timely manner at the time they knew of the practices;
- d. Pursuant to the Bank's Anti-Corruption Guidelines, and in accordance with the Bank's prevailing sanctions policies and procedures, may sanction a firm or individual, either indefinitely or for a stated period of time, including by publicly declaring such a firm or individual ineligible (i) to be awarded or otherwise benefit from a Bank-financed contract, financially or in any other manner;¹ (ii) to be a nominated² sub-contractor, consultant, manufacturer or supplier, or service provider of an otherwise eligible firm being awarded a Bank-financed contract; and (iii) to receive the proceeds of any loan made by the Bank or otherwise to participate further in the preparation or implementation of any Bank-financed project;
- e. Requires that a clause be included in request for bid/request for proposals documents and in contracts financed by a Bank loan, requiring (i) bidders (applicants/proposers), consultants, contractors, and suppliers, and their sub-contractors, sub-consultants, service providers, suppliers, agents personnel, permit the Bank to inspect³ all accounts, records and other documents relating to the procurement process, selection and/or contract execution, and to have them audited by auditors appointed by the Bank.

¹ For the avoidance of doubt, a sanctioned party's ineligibility to be awarded a contract shall include, without limitation, (i) applying for pre-qualification, expressing interest in a consultancy, and bidding, either directly or as a nominated sub-contractor, nominated consultant, nominated manufacturer or supplier, or nominated service provider, in respect of such contract, and (ii) entering into an addendum or amendment introducing a material modification to any existing contract.

² A nominated sub-contractor, nominated consultant, nominated manufacturer or supplier, or nominated service provider (different names are used depending on the particular bidding document) is one which has been: (i) included by the bidder in its pre-qualification Application or bid because it brings specific and critical experience and know-how that allow the bidder to meet the qualification requirements for the particular bid; or (ii) appointed by the Borrower.

³ Inspections in this context usually are investigative (i.e., forensic) in nature. They involve fact-finding activities undertaken by the Bank or persons appointed by the Bank to address specific matters related to investigations/audits, such as evaluating the veracity of an allegation of possible Fraud and Corruption, through the appropriate mechanisms. Such activity includes but is not limited to: accessing and examining a firm's or individual's financial records and information, and making copies thereof as relevant; accessing and examining any other documents, data and information (whether in hard copy or electronic format) deemed relevant for the investigation/audit, and making copies thereof as relevant; interviewing staff and other relevant individuals; performing physical inspections and site visits; and obtaining third party verification of information.

PART 2 – Employer's Requirements (Draft)

Section VII - Scope of Employer’s Requirements

Contents

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3. Site and Other Data	75
4. Environmental and Social (ES) Requirement.....	76

1. Description of the Works (Design and Build)

General

Employer’s Objectives

The Scope of Work comprises of carrying out the necessary investigations, survey, analysis, design and construction testing, commissioning and provision of training and technical assistance to the employer’s personnel on maintenance of all engineering works for the Eastern Trunk.

Employer expects from the Contractor the following.

- High standard of engineering in planning, designs, construction work and installation work;
- Provide technical support and assistance to Employer’s O&M staff during the commissioning period;
- Apply concepts of good engineering including the concept of value engineering in the designs and in construction
- In so as possible standardisation of the designs, equipment and operational arrangement across the pumping stations covered in this contract
- High quality of workmanship in civil, structural and installation work;
- Employ qualified and experience personnel as demanded by the work
- Employ appropriate, proven and robust technology, construction practices and equipment to minimize equipment failures and reduce construction failures
- Undertake all work with due consideration given to (a) health and safety, (b) environment, (c) social aspects; and (d) gender equality and differently able people
- Ensure and maintain good public relations in all dealings with the elected officials, community leaders and general public
- Provide well written O&M manuals and instruction for Employer’s use

SCOPE OF WORK

General

This is a design build type Contract. The Contractor is responsible for all design work associated with this Contract including carrying out of any additional and/or new investigation work to support his design. Under this Contract, approx. 12 km of trunk main sewer and one Sewage Pumping Station (SPS) at Golapbag will be constructed.

The Contractor’s work shall be fully in compliance with the Employer’s Requirements inclusive of Conceptual Drawings and Specifications herein unless changes to such requirements are approved by the Employer’s Representative who is basically the consultant in charge of reviewing, monitoring and supervising the designs and works of the Contractor on behalf of the Employer. Such approval of the Employer’s Representative should be in writing. The Contractor’s design build responsibility also includes obtaining the Employer’s Representative’s approval for the designs and plans. Work carried out without the approval of the Employer’s Representative may be rejected by the Employer’s Representative, and the Contractor would then need to redo such work at his cost, to the approval of the Employer’s Representative. The Contractor is also responsible for keeping the trunk main under operation during construction and up to the point of Completion. He shall prepare operation manual. He shall also provide technical assistance and training to the Employers O&M staff during the commissioning period.

Scope of Work in Summary

Table 0-1 defines the SOW in summary. Scope in details in relation to each discipline such as Civil, Mechanical, Electrical, etc. explained in other respective sections of the Employer’s Requirements.

Table 0-1 - Summary Scope of Work

	Facility	Description of Work
1	Trunk Main using trenchless method	Details Survey, Design and Construction of Trunk Main sewer in approximate length of 5.9 km with sufficient capacity to transfer indicated sewage flow in year 2050 using micro-tunneling method.
2	Trunk Main using open-cut method (including gravity main and pressure main)	Details Survey, Design and Construction of Trunk Main sewer in approximate length of 6.1 km with sufficient capacity to transfer indicated sewage flow in year 2050 using open-trench method.
3	Golapbagh SPS	Details Survey, Design and Construction of SPS to pump 5,929 m ³ /hr (peak flow) and 113,600 m ³ /d at average flow of sewage up to required level in year 2035. The civil and architectural work of the SPS shall be sufficient for expected sewage generation 7,667 m ³ /hr (peak) in year 2050. The SPS should be capable to receive collected fecal sludge to transfer it to STP using the pipe.

Scope of Work in Details

Design Scope of Work

Design Scope of Work includes:

- Review Employers Requirements, conceptual plans and drawings and with due consideration on those requirements prepare Preliminary report on detail design
- Collection of necessary data and information to carry out detailed design of the trunk main and SPS
- Additional investigation work including geotechnical and topographic surveys, etc. as required to review and confirm the provided survey data and information provided in the procurement document and to complete as required the detailed design of the trunk main and SPS
- Survey work inclusive of establishment of temporary benchmarks, trunk main routes and its Longitudinal Profiles
- Design of pumping main
- Preparation of layout plans for SPS
- Preparation of architectural plans for SPS
- Structural analysis and detailed design of all building and structure and pipe components.
- Design of pipe sizes, as per flow and invert information
- Design of shaft/ pit manhole etc.
- Design of shoring, staging, scaffolding
- Design of civil works for trunk main as indicated method of construction and other appurtenances
- Design of SPS including surge analysis and design of surge suppressing systems
- The detailed design of mechanical and electrical unit operations and all other items necessary for a complete and functional installation fit for the intended purposes at each component of Site as specified.

Civil Works

Trunk Main

The works for trunk main in general may include the items described below but not limited to.

- **Trunk main sewer:** Reconstruction of existing trunk main and laying of new trunk main sewer with sufficient diameters and slope for handling expected generated sewage at target year of 2050 (Gravity flow + pumping flow)
- **Manholes:** New construction or replacement of manholes for sewer network connection and maintenance
- **Shaft for Micro-tunnelling:** Installation and restoration of driving and receiving shaft for micro tunnelling (if required).
- Terminal manhole of lateral/secondary sewers and its connection to trunk main
- Procurement of all construction materials as per the approved design completed by the Contractor
- Installation of sewerage system accessories on trunk main of adequate capacity
- Diversion arrangement of existing sewage and drainage system
- Road cutting and restoration of the same.

- Temporary diversion of existing utility facilities such as Power, telecommunication, water supply, etc. wherever required during construction and restoration of the same to its original on completion of activity
- Restoration of existing connections from household and sewer networks to newly constructed trunk main.

Golapbag SPS

The civil works for Golapbag SPS in general may include the items described below but not limited to.

- **Boundary walls, fences and gates:** rehabilitation or new boundary walls/ fences and gates
- **SPS building:** Construction of new pump station building and ancillary infrastructures as per the approved civil, structural and architectural plans including but not limited for the following purposes:
 - Septage/Fecal sludge receiving facilities
 - Grits removal and disposal facilities
 - Office (Sub) accommodation, etc
- **Wastewater Inflows Arrangement:** Construct the inflow arrangements to the wet well within the pump station to suit the design. The work may include laying of new pipes and modifications/improvements to approach channel and construction of one or more manholes.
- **Water and Wastewater Services:** Construction of ground level and elevated water tanks. installation of internal water supply plumbing system and, installation of wastewater conveyance collection system
- **Power Supply:** Rehabilitation or new power supply and install internal and external lighting system
- **Cleaning and Restoration:** Removal of debris and cleaning of the site and buildings:
- **Storm Water Disposal and Landscaping:** Construction of storm water drainage system and landscaping of the site for greenery and beautification including paving work and roads.

Mechanical Works

Sewage Pumping Station

New mechanical plant and equipment will be installed at new Golapbag SPS. The mechanical works for Golapbag SPS in general may include the items described below but not limited to

- Procurement of all mechanical equipment as per the approved design completed by the Contractor.
- Installation of other gates or penstocks with the SPS
- Installation of bar screens together with screen removal mechanism and collection bins/trolleys (The screen should be installed at least one standby)
- Installation of receiving station for Septage/fecal sludge to be delivered by vacuum truck prior to bar screen.
- Installation of grit removal units together with grit pumping or removal device/arrangement, grit washing arrangement. Grit separation arrangement and grit collection bins/trolleys
- Installation of pumps together with valves fittings and plumbing system including the header pipe (The pump should be installed at least 50% standby for peak flow)
- Installation of surge arresting system connecting the header pipe to force main

- Installation of overhead crane and travelling arrangement
- Installation of flow measuring and pressure measuring devices/gauges
- Installation of ventilation system
- Installation of odour Control system
- Installation of air-conditioning systems
- Installation of high pressure cleaning arrangement
- Installation of fire fighting system

Electrical Works

New electrical equipment, switch gear, apparatus and wiring will be installed at SPS. In general electrical work may include the items described below but not limited to.

- Installation of pump motors
- Installation of electrical motors for other machinery and equipment
- Installation of emergency generator
- Installation of MCC, switch gear, panel boards
- Lighting (internal and external)
- Lightning protection
- All wiring work

Instrumentation and Control System

In general, the work associated with instrumentation will include the items described below but not limited to

- Procurement of all electrical equipment as per the approved design completed by the Contractor, installation of measuring devices and sensors/detectors
- Install control system i.e. relays, PLC and other devices
- Wiring and network connection and establishment
- Installation of IT system i.e. monitories etc.
- Establish data transfer arrangement/link to Central Monitoring Station located in Pagla STP

Other Areas

Other items covered by the Scope of Work include:

- Training of personnel for the operation and maintenance of the SPS, transmission lines, and all associated appurtenances and ancillary equipment, with special emphasis on preventive maintenance to ensure effective and sustainable operation and performance of the Facility over the planning horizon of the project
- As-built drawings in hard copy and in digital format and GIS data as specified
- Complete design report

- Operating and maintenance manuals including operating procedures and schedules; for all equipment and facilities provided under the Contract.
- An asset management plan including schedules and proposed budgets for operation and maintenance, repair and replacement.
- The procurement and supply of spare parts for all items including required accessories and a maintenance management system

Protection of underground utility

Utilities shifting

The contractor shall take all necessary measures to provide safety to the underground utilities to ensure the uninterrupted services and bear all necessary cost for shifting the same if needed.

The contractor shall notify the authorized agency of the underground utilities with the assistance of the Employer when it is required to excavate the road with the underground utilities such as water supply pipe, existing sewer pipe, drainage pipe, electrical line, telecom cable, gas line etc. In case of excavation around a road of buried underground utility, the contractor will safely excavate the area within one meter of both side by manual excavation. When excavating in close to an underground utility, care shall be taken to prevent any deviations or damage caused by the excavation. After completion of the construction, the underground utilities shall be in the same condition before the excavation. In case the sewer network construction requires an underground utility to be shifted, the contractor shall contact the respective authority and shall execute the work as per the requirement of the concerned authority. There are various types of underground utilities in this project site. The underground utilities are to be surveyed and confirmed by the contractor prior to any excavation works. The authorized agency related to the underground utilities are as follows.

- Dhaka City Corporation – North (DNCC) : Drainage pipe, road cutting
- Dhaka City Corporation – South (DSCC) : Drainage pipe, road cutting
- Dhaka Water Supply and Sewerage Authority (DWASA) : Water supply pipe, Sewer, Drainage pipe
- Bangladesh Telecommunications Company Ltd. (BTCL) : Telecom line
- Dhaka Power Distribution Company Limited (DPDC) : Electric line
- Titas Gas Transmission & Distribution Company Limited (TGTDC) : Gas pipe

Road cutting

All surfaces of roads, fields, paths, gardens, etc. whether public or private which are affected by the operations of the Contractor for laying sewers shall be temporarily restored by contractor in the first instance only and permanently reinstated in the second instance when the ground has consolidated fully by the City Corporation. The contractor’s scope of pavement is temporary pavement until existing road level. The contractor should obtain permission from DSCC before cutting the road.

The following types of roads are identified for cutting during laying of Sewer lines and then shall be reinstatements:

- Asphalt pavement;
- Paving slab/Brick surfaces; and
- Concrete Pavement.

The following responsibilities shall be carried out by the contractor at their own cost:

- Road cutting permission from the relevant authorities, DSCC, DMP etc.
- Road Restoration.

N.B.: DWASA will provide necessary assistance to getting the permission.

Traffic Management

The Contractor shall meet following requirements with respect to Traffic Management

- The Contractor shall comply with National laws and Codes of Practice in respect of this Clause and use the requirements
- Before any work in or affecting the use of any road is commenced, the Contractor’s proposed method of working, including any special traffic requirements, shall be agreed with, and confirmed in writing to, the Engineer and the relevant Authorities.
- The Contractor having consulted the relevant authorities such as police, shall state in his traffic management plans for roads the party or parties responsible for: road closures, road diversions, temporary traffic control measures including notice boards, any local requirements.
- Throughout the Contract, the Contractor shall co-operate with the Road and Police Authorities concerning works in, or access to, any road. The Contractor shall inform the Engineer of any requirements of, or arrangements made with, the relevant Authorities.
- Responsibility for road closures, road diversions and notifications (if required) shall be borne by the Contractor.

Quality Assurance

The Contractor shall establish and maintain a Quality Assurance System (QAS) based on the ISO 9000 systems or according to any other process/approach acceptable to the Project Manager. Contractor shall explain key components of the QAS explaining how he plans to implement the Quality Assurance Plan (QAP). The explanation shall be supported with a Contractors Organization Chart that depicts the Quality Assurance Structure.

Contractor shall prepare and submit a Quality Assurance Plan giving details of how he proposes to meet the Employer’s objective with regard to quality of the work. This plan may be prepared based on work activities giving details of testing, surveying, investigations, reviewing, checking, evaluating actions, involving designs, materials, measurements, process control and testing incorporated in to the QAP.

The work as a whole and parts thereof shall be carried out as per the QAP. Implementation of QAP will be an essential and part of the overall Contractor’s work. QAP will also apply to false work and temporary work.

Content of Quality Assurance Program

The QAP shall include, as minimum, the following:

- A description of the quality assurance and quality control organization, including chart showing lines of authority and acknowledgment of QCAM staff to conduct inspections and testing for all aspects of the Facilities.
- The name, qualifications, duties, responsibilities, and authority of each person within the QAP organization.

- Procedures for scheduling and managing test submittals, including shop testing submittals from Subcontractors, offsite fabricators, suppliers, and purchasing agents; on-site field inspection reports; and onsite and offsite testing laboratory results
- Quality assurance procedure for designs and drawings.
- Control testing procedures for each specific test for materials and equipment, including a listing of the type and number/frequency of each control test and identification of the laboratory or testing service that will perform the test. (All laboratory facilities and testing services shall be approved by the Project Manager.)
- Reporting procedures, including proposed reporting formats, samples of proposed quality control records, testing forms, reporting forms, and the Submittal Register log
- All aspects of the quality program shall be identified by work area and specification division

2. Implementation Period

Contract Period

The Contractor shall finish all obligation under the Contract within 900 days including design, construction, testing and commissioning until issue of completion certificate.

3. Site and Other Data

Location of Site

The components of Site related to this Contract are located entirely within the Pagla Catchment area of Dhaka City. The possible route of the Eastern Trunk main starts from Modhubag (adjacent to Hatirjheel) and passes through Mirbag, Natunbag, Taltola, Khilgaon, Bashabo, Mugdapara, Maniknagar, Golapbag, Dhalpur, Jatrabari, Gendaria, Jurain, Shyampur and finally reaches Pagla STP. The Contractor shall become fully familiar with the site locations, road network around each site, and other relevant location specific information including the administrative aspects before commencement of physical work. The Contractor shall consider such information in planning and implementation of the works.

Components of Site:

Under Dhaka Sanitation Improvement Project (DSIP), it is planned for reconstruction of Eastern Trunk Main including construction of one sewage pumping station at Golapbagh. According to the conceptual design the length of Eastern Trunk Main is approximately 12km with diameters ranging 900 mm to 2600 mm.

Trunk main (Gravity main and Pressure main) and Golapbagh SPS are located within Pagla catchment area in Dhaka city.

Work Planning Requirements

Work Groups: The Site is divided into three work groups as defined below

Group 1: Construction of Trunk Main in Micro-Tunneling (Approximately 5.9 km)

Group 2: Construction of Trunk Main in Open Cut (Approximately 6.1 km)

Group 3: Construction of SPS at Golapbagh

4. Environmental and Social (ES) Requirement

Health and Safety

Health and Safety Management

The importance of the safety of all personnel on the Project shall be recognized by Contractor, and accident prevention shall be an integral part of Contractor’s operations. Contractor shall take all precautions necessary and shall bear sole responsibility for the safety of the work, his workers, Employer’s/Project Manager’s staff and others including the general public.

Health and Safety Plan

Contractor must provide a written safety plan to Project Manager within 28 days from the Effective Date. The purpose of such safety program shall be to maintain a safe work place and to ensure compliance with the local governing safety regulations, standards and all other applicable rules and regulations required to maintain a safe and healthy working environment.

The safety program must include, at a minimum, the following components of Training, Safety Manager, Personal Protective Equipment, Substance Abuse Program, Incident Investigation, Emergency Procedures, First Aid/Medical Services, Task Hazard Analysis, Daily Safety Plans, acceptable work clothes on site, Confined Space Entry Procedures, etc.

The Contractor’s Safety Program shall comply and include as a minimum the safe work practices and hazard control safety measures commonly used for reducing or eliminating potential construction hazards. Contractor shall identify the potential construction hazards related to type of work including but not limited to: fire (naked light); working with electrical equipment; working in confined spaces; working areas where dangerous emissions are possible; and deep excavations, etc.

Environmental Management Plan

Environmental Management

Significant environmental problems are not expected of the project. Contractor is required to submit a detailed Environmental Management Implementation Plan (EMIP) giving details of how he proposes to meet the Employer’s objectives with regard to environmental protection, impact mitigation and management upon award of the contract. This shall cover all the requirements covered in the Environmental Management Plan (EMP) prepared earlier by the Employer.

Environmental Management Implementation Plan

The Contractor shall within 28 days of the signing Date furnish a detailed Environmental Management Implementation Plan which it proposes to implement for approval by the Project Manager. EMIP shall be prepared based on the EMP prepared by the Employer. In the EMP Contractor shall identify:

- Potential environmental impacts and risks generally applicable to all sites and specific to particular sites
- Propose measures to minimize/avoid and mitigate those impacts as actions that the Contractor will take
- Methods/ Tests used for assessment of environmental impacts and effectiveness of proposed measures including locations of monitoring and frequency of monitoring

- Implementation arrangement that will ensure the compliance
- Effects and Compliance Monitoring and Reporting arrangement
- Achieving Contractors staff awareness on EMP through educating and training

Compliance with Regulations

It is necessary that the Contractor shall work in accordance with the environmental and pollution control laws prevailing in the country. The Contractor shall also comply with the recommendations made in the EMP which is part of the Contract.

If the Contractor is forced to stop work by the regulatory authorities or by Court of Law on such grounds, Contractor shall take necessary measures to rectify the situation and commence work as early as possible. Employer is not responsible for loss of time and any additional cost incurred as a result of such stoppage or measures taken to rectify the situation.

Key expectations in addressing Sexual Exploitation and Abuse (SEA) and Sexual Harassment (SH) risks and impacts

Contractor’s Personnel shall:

1. carry out his/her duties competently and diligently;
2. comply with all applicable laws, regulations and other requirements, including requirements to protect the health, safety and well-being of other Contractor’s Personnel and any other person;
3. maintain a safe working environment including by:
 - a. ensuring that workplaces, machinery, equipment and processes under each person’s control are safe and without risk to health;
 - b. wearing required personal protective equipment;
 - c. using appropriate measures relating to chemical, physical and biological substances and agents; and
 - d. following applicable emergency operating procedures.
4. report work situations that he/she believes are not safe or healthy and remove himself/herself from a work situation which he/she reasonably believes presents an imminent and serious danger to his/her life or health;
5. treat other people with respect, and not discriminate against specific groups such as women, people with disabilities, migrant workers or children;
6. not engage in any form of sexual harassment including unwelcome sexual advances, requests for sexual favors, and other unwanted verbal or physical conduct of a sexual nature with other Contractor’s or Employer’s Personnel;
7. not engage in Sexual Exploitation, which means any actual or attempted abuse of position of vulnerability, differential power or trust, for sexual purposes, including, but not limited to, profiting monetarily, socially or politically from the sexual exploitation of another. In Bank financed Projects, sexual exploitation occurs when access to or benefit from Bank financed Goods, Works, Consulting or Non-consulting services is used to extract sexual gain;
8. not engage in Sexual Assault, which means sexual activity with another person who does not consent. It is a violation of bodily integrity and sexual autonomy and is broader than narrower

conceptions of “rape”, especially because (a) it may be committed by other means than force or violence, and (b) it does not necessarily entail penetration;

9. not engage in any form of sexual activity with individuals under the age of 18, except in case of pre-existing marriage;
10. complete relevant training courses that will be provided related to the environmental and social aspects of the Contract, including on health and safety matters, and Sexual Exploitation and Assault (SEA);
11. report violations of this Code of Conduct; and not retaliate against any person who reports violations of this Code of Conduct, whether to us or the Employer, or who makes use of the [Project Grievance [Redress] Mechanism].

NB: The texts of the Employer’s Requirements described above are very preliminary and at draft stage. These Employer’s Requirements will be described more elaborately during the Request for Proposal (RFP) stage.